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## 2 **Deconvolving Trends in North Atlantic Power Dissipation**

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5

### 6 **Abstract**

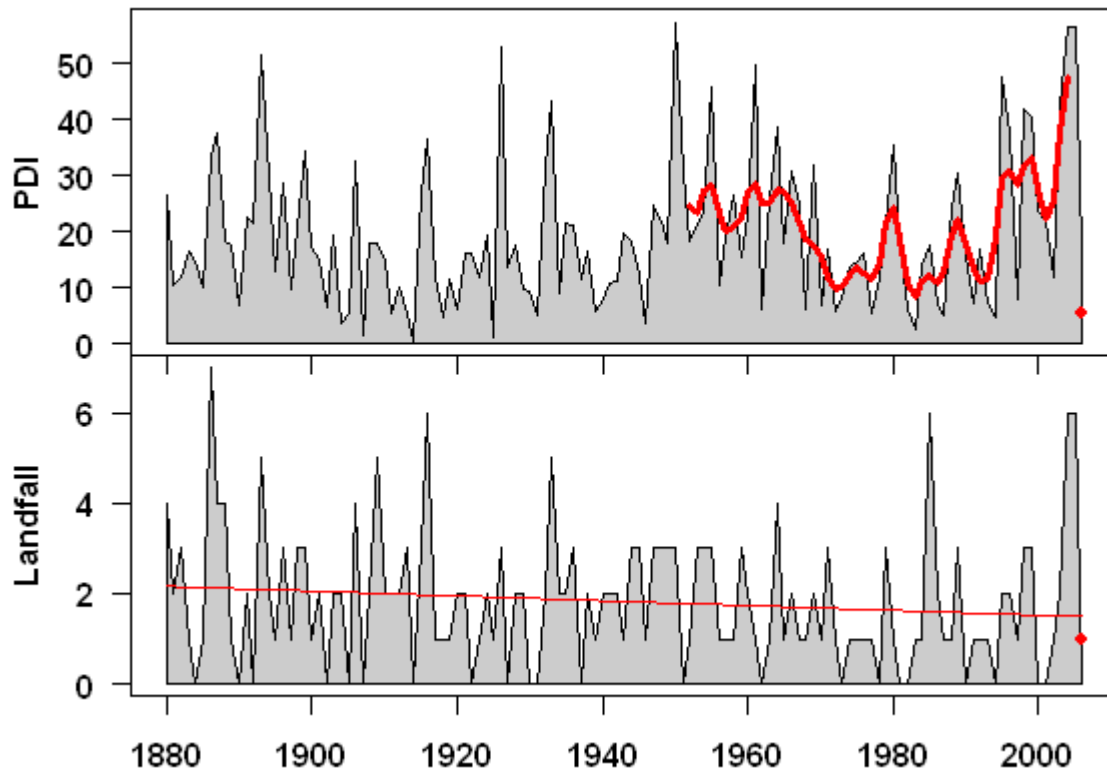
7 Although considerable attention has been paid to basinwide trends in North Atlantic cyclone activity,  
8 to date, there has been little attempt to separately quantify trends in the east and west part of the  
9 Atlantic. In this paper, we show that the increase in North Atlantic cyclone activity has taken place  
10 almost entirely in the middle of the Atlantic Ocean, with no trend whatever in the western Atlantic.  
11 This lack of trend in the west Atlantic is consistent with the lack of trend in landfall statistics and  
12 provides a complete reconciliation of a problem outstanding in exchanges following Emanuel (2005a).  
13 The increase in observed activity in the middle of Atlantic Ocean may be due to improved observing  
14 practices (Landsea 2006, 2007) or to increased east Atlantic SST (Emanuel 2005), but the localization  
15 of the increase to the middle Atlantic is as distinct as any overall trend. We do not consider causation,  
16 but it is possible that increased eastern SSTs is causing hurricanes to form earlier and turn north earlier,  
17 mitigating landfall consequences.

18

### 19 **Introduction**

20 The present paper originates from a problem that was not resolved in an exchange arising out of  
21 Emanuel (2005a). Emanuel (2005a) reported that the power dissipation index (PDI, defined as the time  
22 integral of the cubed wind speed) had doubled in the Atlantic basin over the last 30 years. Comments  
23 by Landsea (2005) and Pielke (2005) noted respectively that there was no trend in PDI at landfall in the  
24 United States or in normalized economic losses, results at odds with the trend reported by Emanuel  
25 (2005a). In reply, Emanuel (2005b) acknowledged the lack of trend in these indices and made the  
26 rather unsatisfying hypothesis that the discrepancy could be due to simple randomness, while arguing  
27 that for the reality of the trend in Emanuel (2005a) on the basis that the Hurdad data set contained  
28 “about 100 times more data” than the landfall data set and that these results accordingly had “a signal-  
29 to-noise ratio that is ten times that of an index based on landfalling wind speeds.”

30 This discrepancy is illustrated in Figure 1, showing PDI (“Power Dissipation Index” – the  
31 integral of wind speed cubed) in the top panel and landfall counts in the bottom panel. Emanuel  
32 (2005a) based its claim that PDI had doubled in the past 30 years on a comparison of recent  
33 values of smoothed PDI (the red curve shows the 1-4-6-4-1 smooth without end-point pinning as  
34 used in Emanuel 2005b) to values in the early 1970s. The low 2006 value is also shown by a bold  
35 red dot. By contrast, the number of U.S. landfall hurricanes (bottom) has no trend.



36

37 Figure 1. Top: Black – Total North Atlantic PDI with 1-4-6-4-1 smooth (red) as in Emanuel (2005)  
 38 but without the pinned endpoints (according to Emanuel 2005b). 2006 value highlighted. Bottom -  
 39 U.S. landfall hurricane count, together with 1900-2006 trend (adopting start from Landsea 2005).

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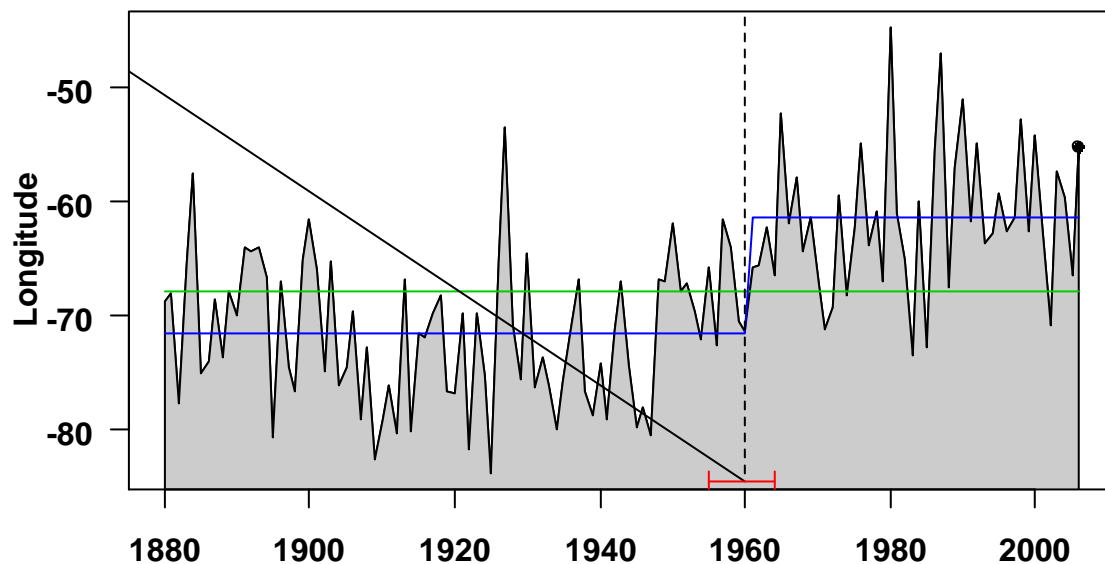
41 Subsequent to Emanuel (2005), there has been some appreciation of the potential for changes in  
 42 longitudinal distribution, but prior studies have failed to thoroughly quantify the changing  
 43 longitudinal distribution (as done here). Landsea (2006, 2007) illustrated the difference in track  
 44 locations between the busy 1933 and 2005 seasons, observing that the 1933 track records lacked  
 45 the coverage of the 2005 season, suggesting that earlier measurements may have missed storms  
 46 entirely. Pielke and McIntyre (AMS, January 2007) presented analysis showing that there had  
 47 been pronounced eastward movement in the median reported storm (hurricane) track in the  
 48 HURDAT data set, as shown in Figure xx, and presented an early version of the longitudinal  
 49 analysis presented here, showing that the increase in hurricane-days and storm-days occurred in  
 50 the easternmost quartile, while there was little trend in the westernmost quartile. In this  
 51 presentation, we expand substantially on the earlier analysis, showing that the increase in activity  
 52 can be localized in the mid-Atlantic.

### 53 Analysis

54 We analysed North Atlantic track data from the revised HURDAT data,  
 55 ([http://www.nhc.noaa.gov/tracks1851to2006\\_atl.txt](http://www.nhc.noaa.gov/tracks1851to2006_atl.txt)) (downloaded in April 2007). For each  
 56 recorded storm, the Hurdats database contains an estimate of latitude, longitude and wind speed  
 57 (in knots) in 6-hour intervals. We adjusted wind speeds between 1944 and 1969 according to the  
 58 Emanuel (submitted, 2007) implementation of the Landsea 1993 adjustment. This reduces wind  
 59 speeds between 47 knots ( $24 \text{ m sec}^{-1}$ ) and 129 knots ( $65 \text{ m sec}^{-1}$ ), with a maximum reduction of

60 about 14% at 82 knots ( $42 \text{ m sec}^{-1}$ ) – see Supplementary Figure 1. Landfall data was from  
 61 <http://www.aoml.noaa.gov/hrd/hurdat/ushurrlist18512005-gt.txt>, as downloaded in April 2007.  
 62 “Storms” is used in this paper to denote cyclones with adjusted wind speeds exceeding 35 knots  
 63 (hurricanes – 65 knots; category 3+ - 96 knots).

64 Figure 2 shows the pronounced eastward shift in median annual longitude of all measurements in the  
 65 Hurdat dataset for 1880-2006, previously mentioned by Pielke and McIntyre (AMS Jan 2007). The  
 66 median longitude of a recorded cyclone occurrence in the last part of the 20<sup>th</sup> century is no less than 10  
 67 degrees further east relative to the first half of the century. Superimposed on this figure are the results  
 68 of a breakpoints analysis using the strucchange package [Zeilis et al 2007, which implements methods  
 69 due to Bau (1994, 1997) and Bau and Perron 1997], showing a breakpoint around 1960 with a very  
 70 wide confidence interval.



71  
 72 Figure 2. Median longitude of all storm measurements, showing mean (green) and breakpoint analysis  
 73 (blue), confidence interval in red.

74

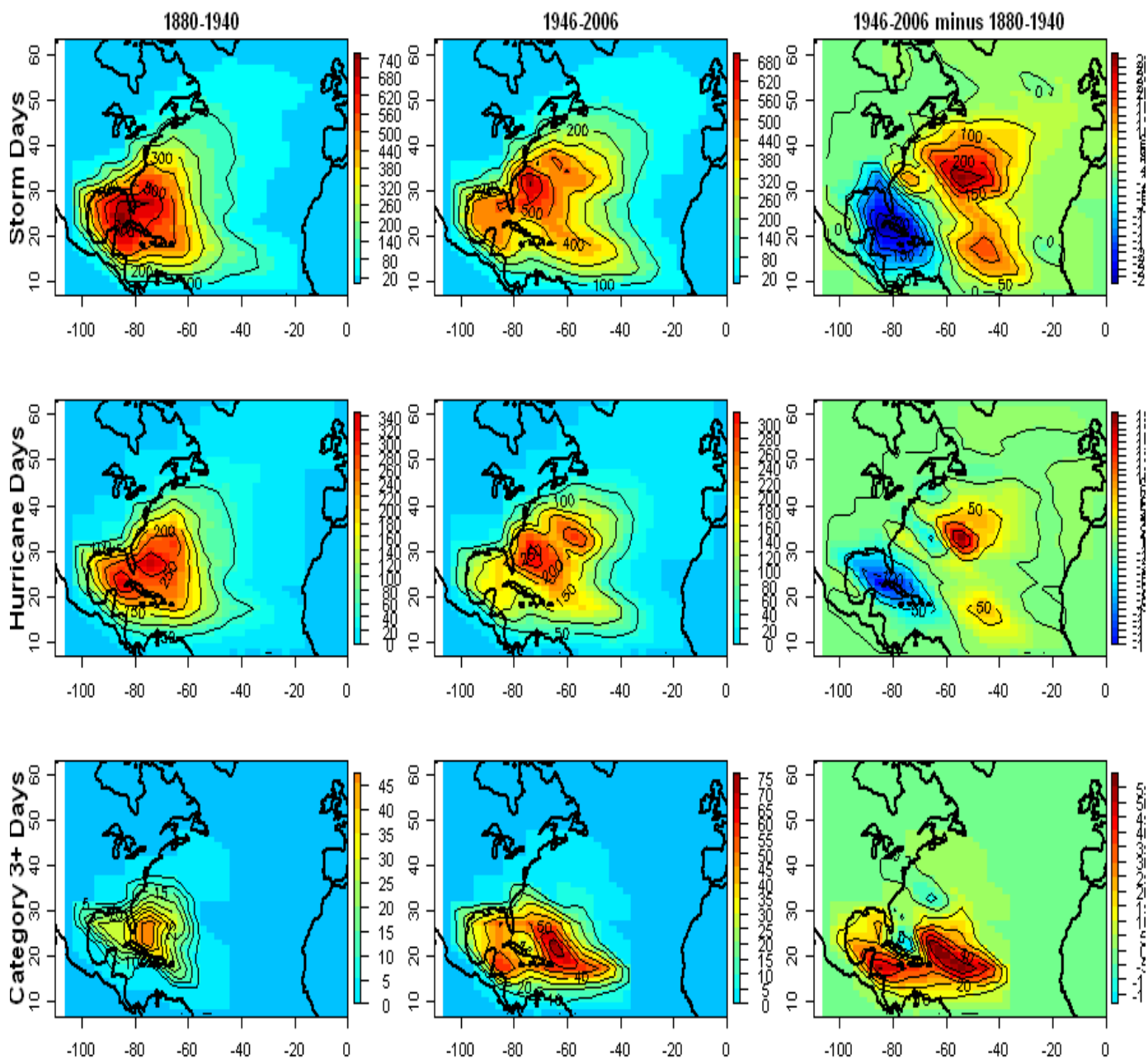
### 75 **Storm, Hurricane and Category 3+ Days**

76 Figure 3 shows a panel of 9 figures illustrating the changing distribution of storm, hurricane and  
 77 category 3+ activity. In this figure, total storm-days (hurricane-days, category 3+-days) are shown for  
 78 the period 1946-2006 (following the introduction of airborne reconnaissance) as compared to  
 79 corresponding values for an equal-length period from 1880-1940. These show the changes at the  
 80 lowest frequency within the HURDAT which extends only from 1850. Plots of trend coefficients yield  
 81 similar results.

82 Out of the various possible indices of hurricane activity, we have preferred to use days for reasons  
 83 discussed below. The top row shows contours for each of the two reference periods and the contour of  
 84 the difference. The difference contour shows a remarkable decline in reported storm-days in the west  
 85 Atlantic (especially the Gulf of Mexico) in the post-WW2 period, accompanied by a strong increase in  
 86 storm-days in the northern mid-Atlantic with a lesser locus of increase in the south mid-Atlantic.

87 The middle panel shows the same contours for hurricane-days. The patterns are more or less similar – a  
 88 decline in the Gulf of Mexico accompanied by an increase in the northern mid-Atlantic.

89 The bottom panel shows a quite different pattern of contours for category 3+ days. Prior to WW2, there  
 90 were relatively few category 3+ measurements; indeed, most such measurements occurred off the east  
 91 coast of Florida. In this area, there was negligible increase in the post-WW2 period, but strong  
 92 increases further to sea and off the Yucatan.



93  
 94 Figure 3. Contour Maps showing storm, hurricane and category 3+ days for 1880-1940, 1946-2006 and  
 95 the difference. This highlights the increase in the central Atlantic and the decline in the Caribbean and  
 96 Gulf of Mexico for storm days and hurricane days.

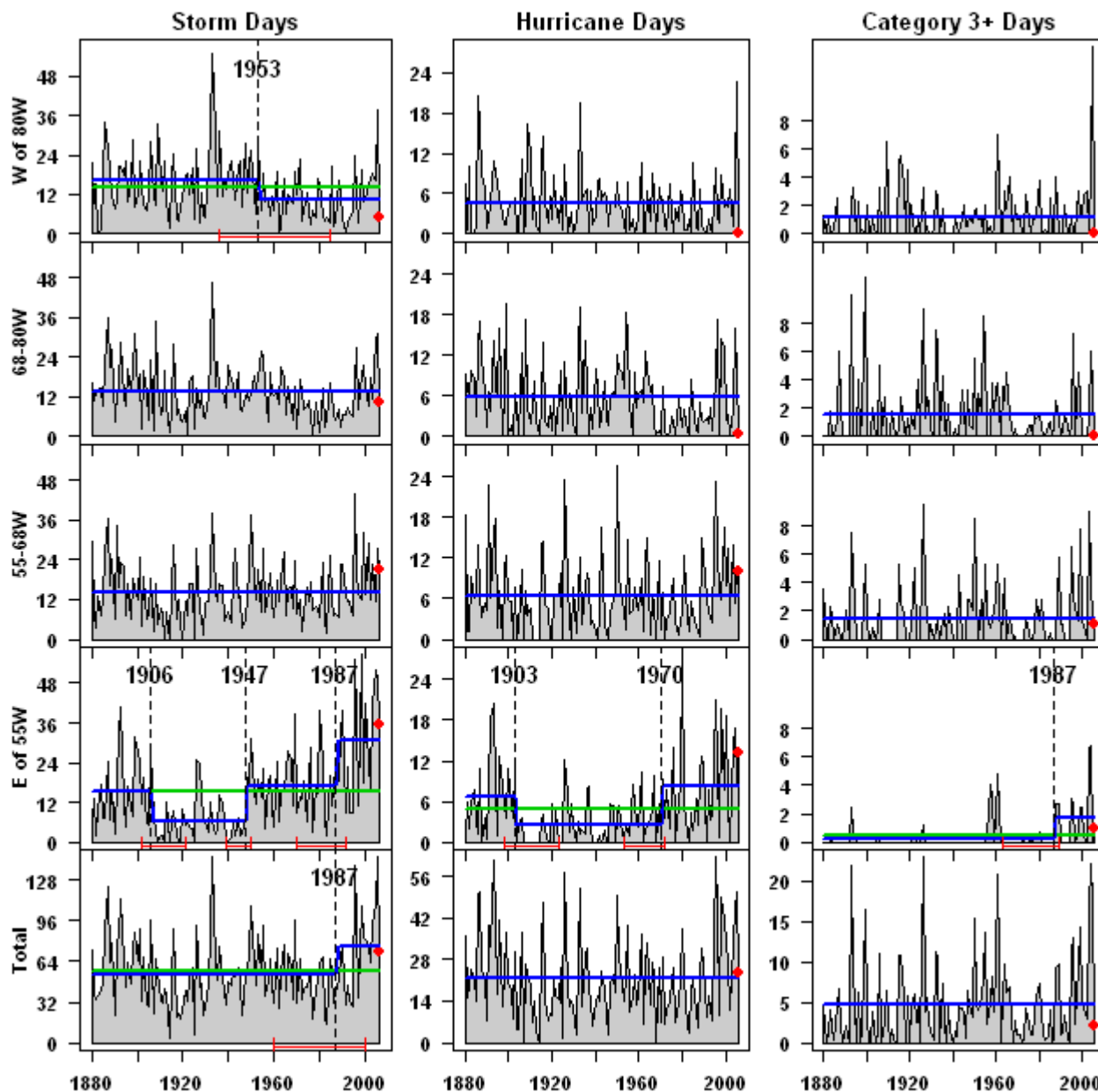
97  
 98 Figure 4 presents this information in a time series format. The median longitude of all HURDAT track  
 99 measurements is 68W, with 55W being the east quartile and 80W being the west quartile. (See  
 100 Supplementary Figure 2 for the location of the quartile lines on an Atlantic map.) All landfalls occur in

101 the western third of the basin and most in the western quartile, while the two eastern quartiles are in the  
102 central or eastern Atlantic and are remote from land. Figure 4 has 12 panels: the three columns show  
103 storm, hurricane and category 3 days from left to right, while the rows show the four quartiles (from  
104 west to east) and the total basin. Once again, breakpoint analysis has been applied.

105 There are no trends or relevant upward breaks in the western half of the Atlantic. 1933 and 2005 both  
106 stand out as exceptional years, although the rank depends on the index. In the west half of the Atlantic,  
107 1933 ranks ahead of 2005 in storm days and hurricane days, but the ranking is reversed for category  
108 3+days.

109

110 The situation in the eastern quartile (the middle Atlantic and east) is very different. In this case, there  
111 is a pronounced increase in reported storm-days east of 55W, with breakpoints in 1947 and 1987. 1947  
112 coincides with the introduction of aircraft reconnaissance, while there is no material change in  
113 measurement around 1987 (P. Klotzbach, pers. comm.) Somewhat contrary to some perceptions, there  
114 is a downward step at the beginning of the 20<sup>th</sup> century, with measured late 19<sup>th</sup> century activity being  
115 comparable to activity post WW2. Also somewhat surprisingly, there are no breakpoints in basinwide  
116 hurricane-days (bottom middle panel) or even basinwide category 3+ days (right middle panel), issues  
117 which will be discussed below.



118

119 Figure 4. Days by category and quartile. Left panel – storm days; middle – hurricane days; right panel  
 120 – category 3+ days. Top to bottom – by longitude quartile, east to west, and total. Breakpoint analysis  
 121 is shown

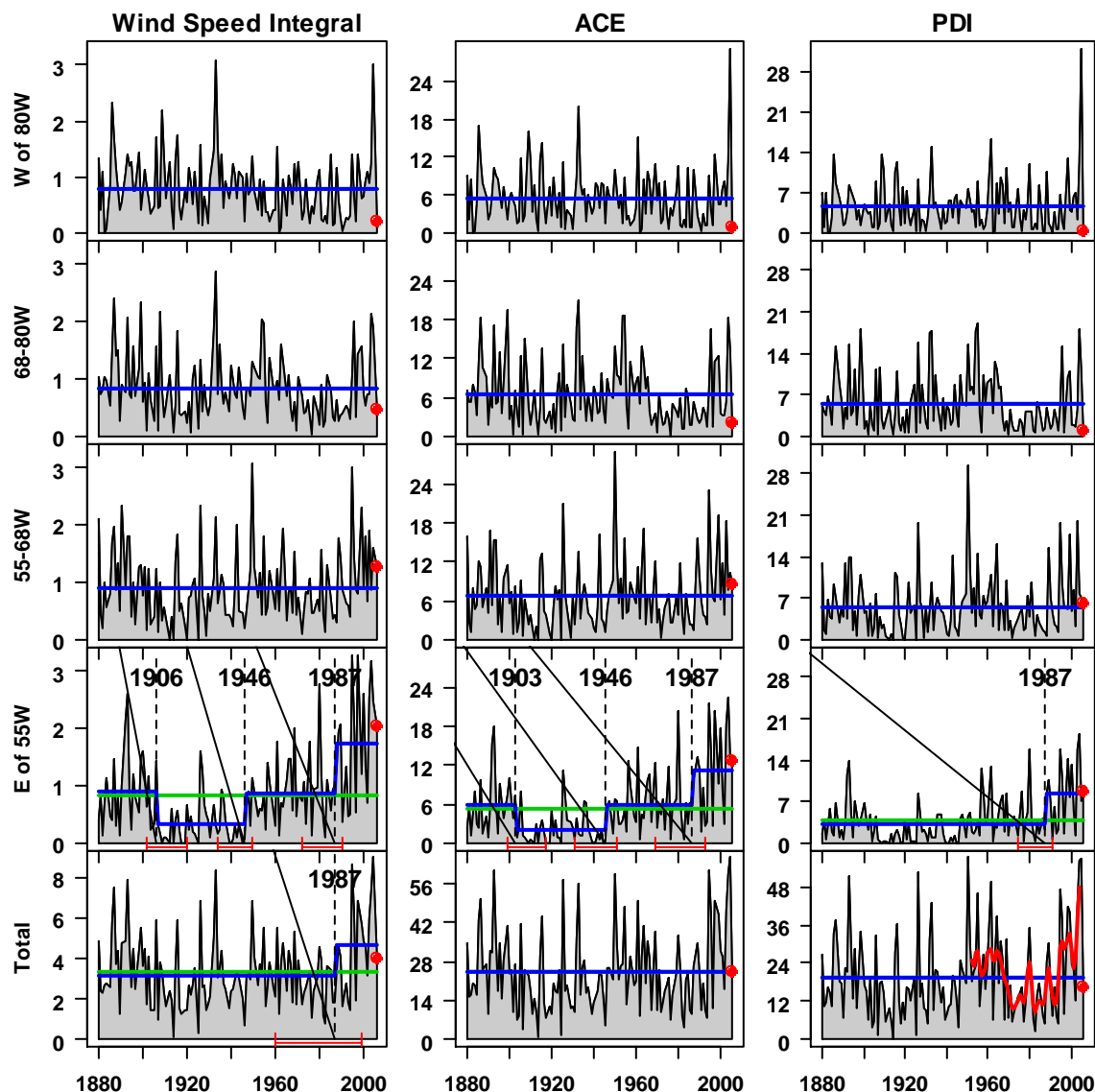
122

123 **Wind Speed Integrals**

124 Figure 5 presents the same data, expressed this time as three different “wind speed integrals”. ACE  
 125 (Accumulated Cyclone Efficiency), used in Vimont and Kossin 2007, is the integral of the wind speed  
 126 squared; PDI (Power Dissipation Index), used in Emanuel 2005a, is the integral of the wind speed  
 127 cubed. For symmetry, we have also shown the simple integral of the wind speed. The bottom right  
 128 panel shows the basinwide integral of wind speed cubed (PDI) used in Emanuel (2005a), together with  
 129 the 1-4-6-4-1 smooth used in Emanuel (2005a) (also shown in Figure 1). The bottom middle panel  
 130 shows the basinwide integral of wind speed squared (ACE) used in Vimont and Kossin 2007.

131 The appearance of most panels is strikingly similar to Figure 4, with some panels being virtually  
132 identical. The correlation of the Wind Speed Integral to storm-days exceeds 0.96 for all series and  
133 exceeds 0.98 in the far west; the correlation of ACE to hurricane-days exceeds 0.93 for all series; the  
134 correlation of PDI to category 3+ days exceeds 0.88 for all quartiles except the far west (where it is  
135 only 0.72). The higher the order of an integral, the greater the weighting of extreme wind speeds. Thus  
136 category 3+ measurements are emphasized by both category 3+ days and PDI and the similarity of the  
137 two series is hardly surprising. The weighting of non-hurricane storms is much greater in the  
138 calculation of storm days and the Wind Speed Integral, which likewise are linked. Hurricane-days and  
139 ACE are in between and similarly linked. The “day” metric can be viewed as a 0-power integral of  
140 wind speed above hurdle minimums, with each hurdle emphasizing the count of more and more  
141 extreme wind speeds

142 As noted the results are very similar to the day analysis. In the far west Atlantic, despite the very active  
143 2005, no breakpoints (or trend) are observed for any of the integrals. On the other hand, there were  
144 substantial increases for all three integrals in the far eastern quartile (see fourth row), with several  
145 significant breakpoints. Two integrals (ACE, Wind Speed Integral) had breakpoints at the start of the  
146 20<sup>th</sup> century, with substantial declines in the first half of the 20<sup>th</sup> century from late 19<sup>th</sup> century levels.  
147 In 1946, both integrals had substantial increases, in which their levels returned to levels equivalent to  
148 the late 19<sup>th</sup> century. The timing of the 1946 breakpoint coincides with the introduction of aircraft  
149 reconnaissance (a point made in a breakpoint analysis of category 3+ counts by Elsner et al 2000,  
150 2004). No breakpoint is returned for PDI from this algorithm for the start of the 20<sup>th</sup> century or after  
151 World War II, but the visual pattern is (unsurprisingly) similar to ACE. In 1987, a breakpoint is  
152 observed in 1987 for all three integrals.



153

154 Figure 5. Three wind speed integrals. Left – integral of wind speed; middle – integral of wind speed  
 155 squared (ACE); right panel – integral of wind speed cubed (PDI) . Top to bottom – by longitude  
 156 quartile, east to west, and total. Breakpoint analysis is shown.

157

158

159

160 Figure 6 re-classifies the indices by latitude quartile (33.5N, 26.8N, 19.7N) instead of longitude  
 161 quartile, providing a somewhat different perspective. In the two central quartiles (between 19.7N and  
 162 33.5N), there are no breakpoints for any category. There is a 1987 breakpoint for category 3+ days in  
 163 the “far south” (south of 19.7N). North of 33.5N, there was a downward step at the end of the 19<sup>th</sup>  
 164 century and upward step after World War II.

165

166 **DISCUSSION**

167 Over time, there have been changes in Hurdad definitions, with an increasing number of non-



168 tropical cyclones being included in Hurdatt (Simpson and Pelissier 1971). The most cited studies  
169 of basinwide activity (Emanuel 2005a; Webster et al 2005) do not separate out non-tropical  
170 cyclones in their calculation of total activity and, to be consistent, we followed their practice, but  
171 report on an analysis of the potential impact of changing non-tropical inclusions.

172 We also consider a broad range of indices of Atlantic hurricane activity. Many different indices have  
173 been used in recent articles, including PDI (Emanuel 2005a); ACE (Vimont and Kossin 2007); storm  
174 count (Holland and Webster 2007); storm, hurricane and category 3+ counts (Webster et al 2005);  
175 storm, hurricane and category 3+ days (Webster et al 2005). Each index emphasizes somewhat  
176 different aspects of cyclone activity, with PDI and ACE strongly weighting category 3+ activity, while  
177 storm counts and storm days are less dominated by intense hurricanes.

178

179 lack of trend in landfall indices is inherent in the Hurdatt data as well, if the west Atlantic is isolated. In  
180 order to show this, we stratified all the measurements in the Hurdatt database by longitudinal quartile  
181 (other subdivisions could have been used) and then calculated PDI (and other indices, such as storm-  
182 count and storm-days) for each quartile, as well as for the basin as a whole. By doing so, we obtained a  
183 more detailed statistical representation of the basin, observing sharp differences in indices for the east  
184 and west Atlantic.

185 There are a number of aspects to inhomogeneity in the data. Methods for detecting storms have  
186 changed over the years, with notable improvements in recent decades with the development of aircraft  
187 reconnaissance after World War II and satellite coverage in the 1960s and recently. Landsea 2007,  
188 summarizing earlier literature, observed that many cyclones may have been missed prior to modern  
189 surveillance, pointing to the notable gaps in east Atlantic storm observations in the active 1933 season.  
190 In reply, Trenberth (2007) pointed out the need to evaluate indices other than the simple count index  
191 discussed in Landsea 2007. This article provides such an evaluation.

192 In addition to the simple detection of storms, inhomogeneity in wind speed measurement was raised as  
193 long ago as Landsea 1993. Emanuel 2005a applied an interpretation of this methodology to reduce  
194 Hurdatt windspeeds between 1946 and 1966; Landsea 2005 objected to the Emanuel 2005s adjustment.  
195 Emanuel 2007 varied the implementation a little (we apply this variation in our calculations.) Holland  
196 and Webster 2007 have argued that simple count indices are more reliable than indices that are more  
197 sensitive to changing methods of measuring wind speeds. While there is something to be said for this  
198 point, indices incorporating wind speeds (ACE, PDI) are embedded in recent literature and the issue  
199 cannot be avoided entirely.

200 Our impression, which we will justify below, is that there seems to be more homogeneity in the  
201 hurricane counts and hurricane-days (as compared to storm counts and days on one end and as  
202 compared to category 3+ counts and days on the other end.) With improved surveillance, more storms,  
203 especially in the east Atlantic, have become incorporated into the data base; and, recently, the  
204 proportion of category 3+ and, especially category 4+ measurements, has increased. In addition,  
205 inclusion criteria have varied over time and a conscious policy in the mid-1960s to include storms with  
206 more northerly origins is reported.

207 Complicating matters, all these changes have taken place in the context of a system with profound  
208 multidecadal variability and there is evidence that the scales of variability may extend longer than the  
209 period of relatively homogeneous satellite coverage or even aircraft reconnaissance (Goldenberg and  
210 Shapiro, 2001). In the west Atlantic, within the 20<sup>th</sup> century, 1933 is the most comparable season to  
211 2005. It occurred prior to modern surveillance.

212 In the most recent prominent articles, the period of study is truncated on the basis of relatively

213 homogeneous coverage (Emanuel et al 2005a begins in 1950 and benchmarks PDI doubling from the  
 214 1970s; Webster et al 2005 begins in 1970). In the analyses here, we have used the record back to 1880,  
 215 the starting point of the GISS temperature record. Many indices are surprisingly high in the late 19<sup>th</sup>  
 216 century. No one has suggested that the data prior to World War II **over-estimates** or over-detects  
 217 hurricanes, although this is not impossible a priori and we prefer to keep this longer record in front of  
 218 the reader, while discussing allowances that might be required in light of possibly incomplete earlier  
 219 recording. We chose 1880 as a compromise between the earliest possible point (1851) and a desire to  
 220 avoid a possible gross inhomogeneity between 1851 and 1880: there was a substantial increase in all  
 221 indices from 1851 to 1880. This might be due to natural variability rather than inhomogeneity in  
 222 detection. (We illustrate several series from 1850 in the Supplementary Information.) In any case, we  
 223 utilize a much longer record than other recent studies.

## 224 **Trends in the East and West Atlantic**

225 Examination of a wide variety of indices of hurricane activity shows a consistent pattern:

- 226 • no trend in the western quartiles, despite a very active 2005 season;.
- 227 • pronounced changes in the east Atlantic with a downward step in many indices at the start of
- 228 the 20<sup>th</sup> century, an upward step in the late 1940s and another step around 1987.

229 The lack of trend in the western quartiles in a broad range of hurricane indices, including PDI, offers a  
 230 simple solution to the discrepancy between PDI and landfall statistics that initiated our inquiry. The  
 231 lack of trend in landfall statistics is completely consistent with a corresponding lack of trend in PDI  
 232 and other indices in the two western quartiles. This enables us to rule out the rather unsatisfying  
 233 speculation of Emanuel (2005b) that the discrepancy might be merely random, resulting from the  
 234 smaller size of the landfall dataset relative to the Hurdad dataset. The lack of trend in the landfall  
 235 dataset is not random, but observable in the Hurdad dataset as well.

236 The lack of trend in the west Atlantic is not limited to the PDI metric used in Emanuel (2005b). The  
 237 same lack of trend is observed in other metrics used in recent literature: ACE (Vimont and Kossin  
 238 2007) and storm counts and days, hurricane counts and days, category 3+ counts and days (Webster et  
 239 al 2005).

240 Given that there is no trend in the west Atlantic, any overall increase necessarily requires that  
 241 increases in the east Atlantic be large enough to account for the entire overall increase in activity,  
 242 posing the question as to why there should be large increases in the east Atlantic without corresponding  
 243 increases in the west Atlantic,

## 244 **North and South**

245 The increases in the far east Atlantic quartile in most indices, including storm count and PDI, is large  
 246 enough to account for the entire basinwide increase.

247

248 In addition, Webster et al 2005 isolated a rather short period (30 years) which is much shorter than the  
 249 potential period of multidecadal variability as estimated by Goldenberg and Shapiro (2001). When  
 250 different periods are selected (e.g. 1880-2006), no “significant” trends can be observed in the Webster  
 251 et al 2005 metrics even on a basinwide basis, let alone in the west Atlantic.

252

## 253 **A 1930 Breakpoint?**

254

255 Holland and Webster 2007 purported to identified 3 climate “regimes” in the Atlantic with breakpoints  
256 in 1930 and 1994, dates which they said did not correspond to any “known observing or analysis  
257 changes”. They also referred to, but did not discuss, a possible 4<sup>th</sup> regime in the late 19<sup>th</sup> century. They  
258 asserted that there were approximately 50% increases in storm counts in 1930 and again in 1994.  
259

260 The breakpoint algorithm used here is an excellent way of attempting a more formal test of the  
261 existence of these regimes. Our breakpoint analysis of the total storm counts series observed  
262 breakpoints in 1930 and 1987, each with very wide confidence intervals (see Figure 6, bottom left  
263 panel). The confidence interval for the 1930 breakpoint stretches from 1913 to 1951, a period which  
264 notably includes the introduction of aircraft reconnaissance in 1946-47. The confidence interval for the  
265 1987 breakpoint includes the 1994 date proposed by Holland and Webster 2007.  
266

267 However, in the over 80 series that we analyzed, we observed a 1930 breakpoint in only two other  
268 series: “Near East” quartile storm count (Figure 6 third row left) and far west quartile storm genesis  
269 (Figure 7 top row left). Accordingly, there is little evidence for 1930 as a basinwide regime change.  
270

271 In the east Atlantic storm count and storm genesis series, there is a very distinct upward breakpoint in  
272 the late 1940s, coinciding with the introduction of aircraft reconnaissance. In the west Atlantic, there is  
273 a substantial multidecadal oscillation, with high values in the early 1930s, which give way to low  
274 values in the 1960s and 1970s. Our interpretation is that the apparent 1930 breakpoint in the basinwide  
275 storm count series conflates these two phenomena and that there is no basinwide regime change around  
276 1930.

277 These results are not contradicted by any observation in Holland and Webster (AMS Workshop 2007),  
278 who asserted that “increases [in genesis count] have occurred in all regions except the western  
279 Caribbean and southern Gulf of Mexico, but the largest proportional increases have been in the eastern  
280 Atlantic.” They divided the Atlantic basin into 4 quadrants with an east-west break more or less  
281 coinciding with our median (68N) and a north-south break at 22N. They then compared genesis counts  
282 between two 50-year periods (1906-1955 versus 1956-2005). Their analysis was based entirely on the  
283 difference in genesis counts between two 50-years periods. Their N-S division is split at 22N, which  
284 mixes a variety of different situations in the various quadrants. If the quadrants are divided at 31N (as  
285 in our Figure 9), the comparison in the northern quadrants is a comparison of de minimis amounts,  
286 which, in any event, primarily reflects a change in accounting policy in the 1960s. In the southwest  
287 basin, they did not observe any increase in genesis counts in the past 50 years, consistent with our  
288 findings, while they did observe an increase in genesis counts in the east Atlantic consistent with our  
289 findings as well.

290

291

## 292 **The Late 19<sup>th</sup> Century**

293

294 Holland and Webster (2007) reported a downward shift in storm count from the late 19<sup>th</sup> century (7-9  
295 cyclones) to the early 20<sup>th</sup> century (6 cyclones), but did not include the prior regime (and its downward  
296 shift in their description of 20<sup>th</sup> century activity.)

297 Landsea (2007) also noted this higher recorded occurrence of cyclones in the late 19<sup>th</sup> century then in  
298 the early 20<sup>th</sup> century. He speculated that the “frequency of ‘missed’ tropical cyclones in the nineteenth  
299 century would likely be substantially larger because of the even sparser coverage from shipping tracks  
300 and fewer coastal regions being inhabited.”

301 Many hurricane metrics are already at high levels in the late 19<sup>th</sup> century. Six years in the last quarter of  
302 the 19<sup>th</sup> century have more recorded hurricane-days than 2005. with high late 19<sup>th</sup> century counts even  
303 in the east Atlantic. If Landsea’s speculation about late 19<sup>th</sup> century observational network is correct,  
304 then late 19<sup>th</sup> century hurricane activity will substantially outstrip recent activity, a result that raises  
305 many questions.

306 We observed an early 20<sup>th</sup> century downward breakpoint in many indices in the east Atlantic. The  
307 downward shift in many indices (e.g. storm days, storm count) was approximately equal to the upward  
308 shift in the late 1940s when aircraft reconnaissance was introduced and to the even later upward shift in  
309 east Atlantic hurricane-days in 1970 (presumably incorporating the effects of both aircraft  
310 reconnaissance and satellites.)

311 Contrary to the speculation of Landsea 2007 on late 19<sup>th</sup> century observation (and we refer here only to  
312 this issue), we believe that there are plausible reasons to think that late 19<sup>th</sup> century observations in the  
313 east Atlantic could have been more representative than observations in the 1930s. Hurricane  
314 observations prior to aircraft reconnaissance were observations of opportunity (or, more accurately,  
315 misadventure). In the 19<sup>th</sup> century, Atlantic merchant and fishing fleets were still substantially  
316 comprised of sailing vessels. Dobie (1914) reported that the sailing vessels in the Atlantic fleet in 1914  
317 were still a majority, despite the invention of steam vessels many years earlier. There is an interaction  
318 between vessel type and shipping routes, which could easily introduce a bias in observations of  
319 misadventure. Steam vessels could follow great circle routes, while the trade winds were an important  
320 aspect of many 19<sup>th</sup> century sailing routes. Smaller vessels also required more trips to carry the same  
321 amount of cargo. Add in the disruptions in the first half 20<sup>th</sup> century economy occasioned by World  
322 War I and the Great Depression and, in our opinion, it is by no means obvious that the “observational  
323 network” in the trade wind zones in the late 19<sup>th</sup> century was less than the 1930s or other periods in the  
324 first half of the century. Accordingly, until a detailed analysis of actual sailing routes is carried out to  
325 establish the population of potential observers in this respective periods, we think that equal credence  
326 should be given to the possibility that 19<sup>th</sup> century observational network may not have been worse  
327 than the early 20<sup>th</sup> century network, and may have been better.

### 328 **Periodization**

329 Goldenberg and Shapiro 2001 observed that multidecadal variability in the Atlantic hurricane activity  
330 takes place on all scales.

331  
332 Hurricane activity in the Atlantic was at low levels in the 1960s and 1970s, while activity in the 1930s  
333 (and the late 19<sup>th</sup> century) was at significantly higher levels than the 1960s and 1970s. Trend and  
334 breakpoint analysis over the 1880-2006 period, which includes periods of high hurricane activity, leads  
335 to very different results than analysis commencing in 1970 (Webster et al 2005 and the PDI doubling  
336 calculation in Emanuel 2005a).

337  
338 Webster et al 2005 reported that the trend in Atlantic hurricane storm counts and storm days was “99%  
339 significant” over the period 1970-2004. However, for the period 1880-2006, our analysis found that  
340 there was no trend (also to 99% significance). The most plausible interpretation of this difference is  
341 that the period selected by Webster et al 2005, while selected on the basis of common satellite  
342 observation, inadvertently began at a low in the multidecadal oscillation and ended on a high. Under  
343 such circumstances, the methods of Webster et al 2005 are insufficient to permit them to distinguish a  
344 “trend” from variability present in the system.

345  
346 Similarly in Emanuel 2005b, although the data is reported for the period 1950-2004, the article’s most  
347 prominent claim (even in the article title) is that hurricane power dissipation has more than doubled in  
348 the past 30 years. As with Webster et al 2005, this comparison is benchmarked on decadal lows in the

349 1960s and 1970s. In our basinwide PDI series for 1880-2006, we did not observe any breakpoints or  
350 long-term trend, although a 1987 breakpoint was observed in the east Atlantic series.

351

352 **1933**

353 Landsea (2007) observed many similarities in the west Atlantic between the 1933 and 2005 seasons,  
354 while noting substantial differences in east Atlantic coverage. This is also readily observed in the  
355 various indices discussed here. In many indices (e.g. hurricane-days), 1933 levels were higher than  
356 2005 levels in the west Atlantic, with the situation reversed in the east Atlantic, where 1933 values in  
357 the east Atlantic were very low. Although we believe that late 19<sup>th</sup> century observation may have been  
358 better than supposed in Landsea (2007), our analysis strongly supports the hypothesis that storm-days  
359 and hurricane-days are strongly under-reported in the east Atlantic in the 1930s.

360 However, this is not the only area of potential inhomogeneity.

361

362 A number of authorities (e.g, Webster et al 2005, Holland and Webster 2007) have emphasized that  
363 past estimates of wind speed include a substantial element of uncertainty additional to the uncertainty  
364 already present in count estimates (and have accordingly focused their attention on counts.) Despite  
365 this caveat, indices that are highly sensitive to wind speed estimates (ACE, PDI, count 3+ counts and  
366 days) continue to be used in the literature because of their physical significance. The ranking of the  
367 active 2005 season relative to the comparandum 1933 season is remarkably elevated in these indices  
368 that are most sensitive to possible inhomogeneity in extreme wind speed estimation. In the western  
369 basin, the differences between 1933 and 2005 can be isolated to a relatively small number of  
370 measurements in the most intense hurricanes, suggesting the desirability of detailed case analyses of  
371 the 5 most intense 1955 hurricanes to ascertain the confidence with which one can assert that Hurricane  
372 xx of 1933 did not reach category 4 status

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377

378 A distinction has been drawn in the literature between “tropical” and “baroclinic” hurricanes [Hess and  
379 Elsner 1994; and Elsner 1996], with “tropical” hurricanes forming further to the east from African  
380 waves and/or the ITCZ. K and Elsner observed that, in “active” seasons, hurricanes tend to form  
381 further to the south and to the east, while in “inactive” seasons, hurricanes to form further north. K and  
382 E observe that the median latitude of hurricanes in the quiet years 1992-1994 is to the north of the active  
383 years 1995-1996,. The analysis undertaken here is not inconsistent with such hypotheses. From a  
384 statistical point of view, one would expect to be able to discern “tropical” hurricanes within the 1933  
385 season that is so similar to 2005 in other respects and to discern similar patterns of causation in 1933 as  
386 in 2005.

387 Secondly, this analysis shows the need for great caution in calculating trends on truncated series.

388 Goldenberg and Shapiro (2001) noted that there is substantial multidecadal variability in Atlantic  
389 hurricane activity, extending over a longer period than the period of relatively homogeneous aircraft or  
390 satellite observation. While the Hurdut database prior to the late 1940s may be incomplete, nobody has  
391 suggested that it over-estimates past hurricane activity. By extending series earlier than starting points  
392 of 1950 (Emanuel 2005a) and especially 1970 (Webster et al 2005), some trends that seem  
393 “significant” within these short perspectives are not significant on a longer perspective, illustrating the

394 need to cautiously consider all the available data prior to truncating the data set, regardless of how  
 395 compelling the reasons for homogeneity may seem. When there are multiple layers of inhomogeneity,  
 396 the data needs to be handled particularly carefully.

397 • [LAndsea – possible mention: Inclusion of 3.2 additional tropical cyclones per year within 1900–1965 and 1.0 per year from 1966 to  
 398 2002 is shown in Figure 2c.] The ranking of the active 2005 season relative to the active 1933 season is  
 399 more pronounced in PDI and metrics that emphasize category 4+ wind speed measurements,  
 400 and is reversed in some indices that do not weight extreme measurements so heavily (e.g.  
 401 storm-days).

402  
 403

404 Like Elsner et al [2000, 2004], we believe that the evidence from breakpoint analysis of longitudinally  
 405 stratified indices strongly supports evidence of technological inhomogeneity, especially with the  
 406 introduction of aircraft reconnaissance in the late 1940s.

407 There is a further step increase in the east Atlantic that we date to 1987, somewhat earlier than  
 408 proposed breakpoints of 1994. It is possible that some element of this pertains to changing satellite  
 409 methodologies, but the greater part of the increase is almost certainly due to a real increase. There are  
 410 real issues, as discussed above, with assessing whether activity in 2005 on a basinwide basis actually  
 411 exceeded 1933 activity, but let's suppose that it did.

412 Indeed let's suppose that all the changes in this dataset are due to climatic factors and methodological  
 413 changes made no contribution to the patterns. We are still left with the odd situation that no breakpoints  
 414 or trends have occurred in the west Atlantic and the entire increase has occurred in the east Atlantic. If  
 415 increased hurricane activity is due to climatic factors, a plausible hypothesis, then there is no reason not  
 416 to suppose that the allocation of the increase is not also due to climatic factors.

417 If so, then matters seem to have been conveniently arranged so that the entire increase in activity has  
 418 taken place in the eastern Atlantic remote from land. In the western Atlantic where landfall occurs,  
 419 there has been no trend in any index of hurricane activity. 2005 was an extremely active year, but 1933  
 420 was comparable in many indices. If there had been a substantial trend or regime change in the western  
 421 Atlantic, this would show up in many hurricane activities through distinct breakpoints such as are  
 422 observed in the east Atlantic. These breakpoints are conspicuously absent in the west Atlantic. The  
 423 occurrence of an unusual year in the west Atlantic (but with precedents in 1933 and 1886) has been  
 424 conflated with a trend in the east Atlantic which itself is comprised to a considerable proportion of  
 425 changing observation methodologies in these remote areas.

#### 426 **A 1987 Regime Change**

427 We consistently observed a 1987 regime change in east Atlantic indices, resulting There is considerable  
 428 evidence in many series for a regime change in the east Atlantic in 1987. This is earlier than the 1994  
 429 date used in Holland and Webster. The regime change was noted by many contemporary observers as  
 430 the end of an exceptionally quiet multidecadal period.

431 To fully support this argument, detailed analysis of 19<sup>th</sup> and early 20<sup>th</sup> century shipping tracks is  
 432 required and, to our knowledge, no such analysis has been undertaken to support this speculation.

433  
 434

#### 435 **Conclusion**

436 The entire increase in Atlantic cyclone activity has taken place in the eastern part of the Atlantic, to the  
 437 east of 63W; there was no trend in the western part of the basin. This lack of trend is completely

438 consistent with a previously observed lack of trend in U.S. landfall hurricanes, all of which occur in the  
439 western part of the basin. It is possible to rule out a hypothesis of randomness as the basis for the  
440 discrepancy between lack of trend in landfall data and the seemingly significant trends in other overall  
441 basin indices of hurricane activity.

442 Breakpoint analysis of longitudinally stratified activity consistently shows a significant breakpoint in  
443 the late 1940s, which can be plausibly allocated to the introduction of aircraft reconnaissance. Other  
444 breakpoints are observed in the east Atlantic (and only the east Atlantic) at the start of the 20<sup>th</sup> century  
445 (downward) and in 1987 (upward). The downward breakpoint at the start of the 20<sup>th</sup> century may  
446 indicate that conversion of the Atlantic fleet from sail to steam may have resulted in first half 20<sup>th</sup>  
447 century observations in the far east Atlantic being less complete than in the late 19<sup>th</sup> century. This  
448 cannot be resolved on a priori reasoning.

449 In addition,///

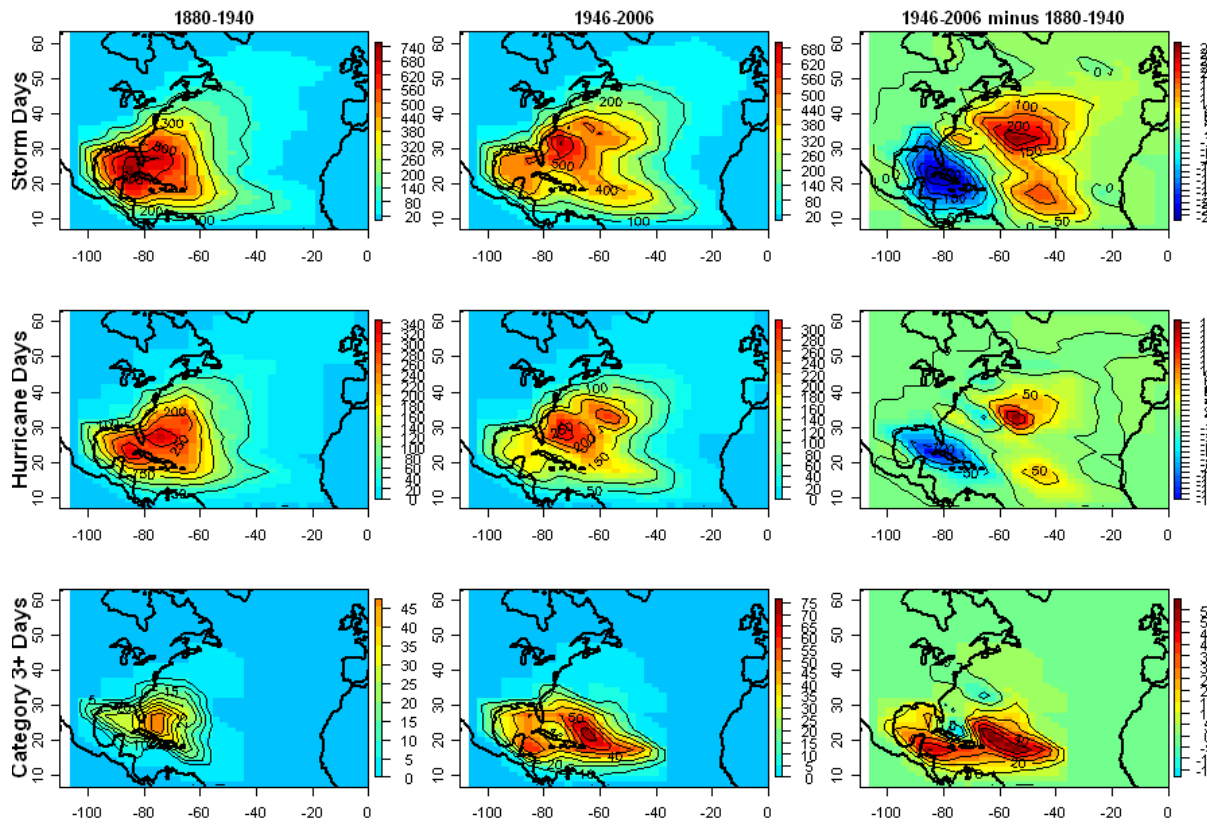
450 Hurricane activity in the western NATL basin was historically low in the 1970-1994 period and  
451 decision makers should take care not to overlook these levels are likely to be frequently exceeded in the  
452 future whether due to global warming, randomness, natural causes, or some combination. Given the  
453 importance of landfalling storms to society, the research community should place even greater attention  
454 to the challenging and important scientific questions of tropical cyclone landfall climatology.

455

456



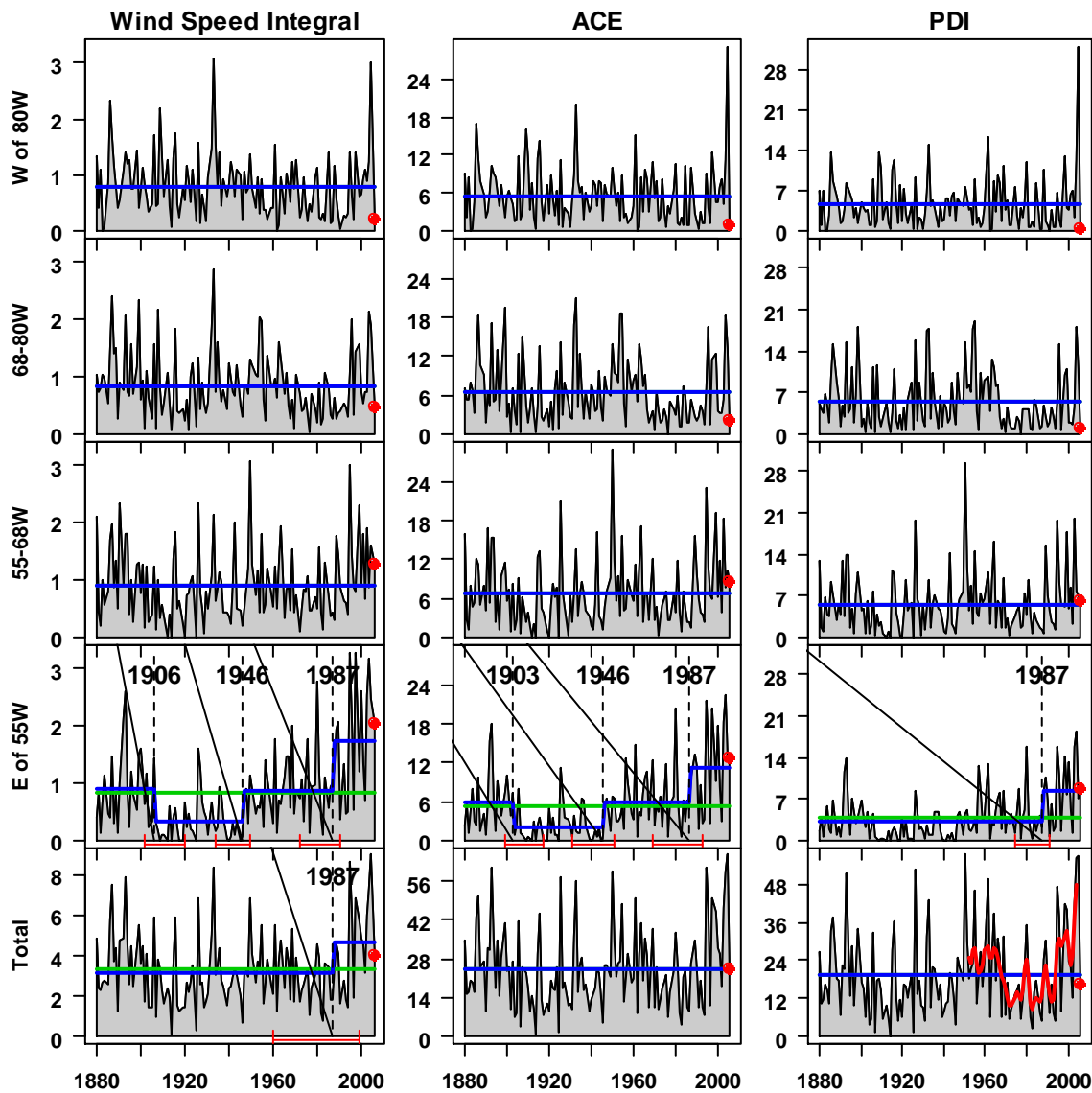




458

459 Figure 4. Contour Maps showing storm, hurricane and category 3+ days for 1880-1940, 1946-2006 and  
 460 the difference. This highlights the increase in the central Atlantic and the decline in the Caribbean and  
 461 Gulf of Mexico for storm days and hurricane days.

462



463

464 Figure 5. Three wind speed integrals. Left – integral of wind speed; middle – integral of wind speed  
 465 squared (ACE); right panel – integral of wind speed cubed (PDI) . Top to bottom – by longitude  
 466 quartile, east to west, and total. Breakpoint analysis is shown.

467

468

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## 517 SUPPLEMENTARY INFORMATION

518 There are many possible ways to measure hurricane activity, some placing more emphasis on intense  
 519 hurricanes and some on all storms. Rather than advocating one index as pre-eminent, we present results  
 520 for a comprehensive suite of indices and comment on the differences. Figures 4-7, 9 present the  
 521 following indices for the four quartiles and the basin total:

- 522 - Three integrals of wind speed: the simple integral of wind speed (Wind Speed Integral), the  
 523 integral of wind speed squared (Accumulated Cyclone Energy -ACE); the integral of wind  
 524 speed cubed (Power Dissipation Index – PDI)
- 525 - storm, hurricane and category 3+ days
- 526 - storm, hurricane and category 3+ quartile counts by occurrence
- 527 - storm, hurricane and category 3 quartile counts by genesis
- 528 - storm, hurricane and category 3 quartile counts by maximum westward measurement

529

530 Figure 6 shows quartile counts by occurrence and Figure 7 shows quartile counts by genesis. All  
 531 metrics are obviously closely related, but differ in details. For occurrence counts, a storm is counted if  
 532 it occurs in a quartile, while genesis counts record only the quartile of genesis. In the occurrence count  
 533 metric, a storm can occur in more than quartile; the basinwide total only counts each storm once and is  
 534 identical to the basinwide total for genesis counts. Webster et al 2005 considered basinwide counts and  
 535 days for A breakpoint analysis for the series shown here as category 3+ count was previously carried  
 536 out by Elsner et al [2000, 2004] using a different algorithm. Elsner et al 2000 reported an upward break  
 537 in 1943, a downward shift in 1965 and another upward shift in 1995, noting that that the exact years  
 538 were estimates. They attributed the 1943 changepoint as "due in part" to improvements in observational  
 539 techniques. Elsner et al 2004, using a different (MCMC) changepoint algorithm, identified  
 540 changepoints in 1906, 1943 and 1995, noting that a "worthwhile" breakpoint model should be able to  
 541 detect a changepoint in the mid-1940s, when aircraft reconnaissance was introduced.

542 Using a different algorithm, we observed a statistically significant breakpoint for category 3+  
 543 hurricanes in 1942, a date matching Elsner. The introduction of aircraft reconnaissance in 1947 is well  
 544 within the confidence intervals of this calculation and is a plausible explanation for this breakpoint.  
 545 The other changepoints reported by Elsner et al [2000,2004] were not determined to be significant  
 546 according to the algorithm used here, although visually one can see level changes in the periods  
 547 reported by Elsner et al [2000,2004].

548 the three categories shown here (see bottom rows).

549

550 The only upward break in any of the western quartiles is a slight upward step in west Atlantic storm  
 551 genesis counts in 1930.

552 **Non-Tropical Cyclones**

553 Figure 8 shows a slightly different perspective by dividing the basin into 4 latitude quartiles (19.9N,  
 554 26.8N, 33.6N)

555

556

557 quadrants: SE, SW, NE and NW, as opposed to the longitudinal stratification previously shown. The E-  
558 W division is at the median line and the N-S division is at 31N, varied slightly from the 22N line used  
559 in Holland and Webster (AMS Jan 2007).

560 There are a negligible number of storms in the Hurdad data base that originate north of 31N. There is a  
561 slight break in the mid-1960s. Previously virtually no storms were included in the data base originating  
562 north of 31N. Afterwards, about 1.7 storms per year are included. Simpson and Pelissier (1971)  
563 observed that inclusion criteria for the Hurdad data base had been modified to include some cold core  
564 storms. We have not attempted to segregate these northern origin storms as they form part of the data  
565 base used in Emanuel 2005a and Webster et al 2005.

566 In the two quadrants south of 31N, we compared genesis counts for the past 50 years to genesis counts  
567 for the prior 50 years, a coarse statistic used in Holland and Webster 2007b (AMS Workshop). In the  
568 western basin, there was no difference between the two periods, while there was a substantial increase  
569 in the east Atlantic, consistent with other analyses reported here.

### 570 **Maximum Westward Reach**

571 Figures 8 shows the quartile of maximum westward reach for each cyclone. The limit is defined for  
572 each wind speed minimum – thus the maximum westward reach at hurricane strength will generally  
573 differ from the maximum westward reach at storm strength, although the two indices are obviously  
574 highly correlated. The total basinwide counts match the counts in the earlier count series. These indices  
575 give a useful indication to how many storms or hurricanes might have been missed. Small breakpoints  
576 are observed in the 1960s in the eastern quartiles, due probably to satellite technology picking up  
577 storms that never came west. The total of the steps prior to 1986 amount to 3.2 storms per year, a  
578 number that is comparable to the estimate in Landsea 2007 of 2.2 “missed” storms per year, of which  
579 there would be approximately 1.7 “missed” hurricanes,

### 580 **Median**

581 As a result of all these various changes, the median longitude of an individual track observation in the  
582 Hurdad data set has moved eastwards by over 10 degrees from the first half of the century to the last  
583 half, a summary statistic that encapsulates many of the details discussed above. Indeed, it was the  
584 surprising change in this statistic that prompted the analysis presented here. A breakpoint is observed in  
585 1960, which we interpret as reflecting the combination of the commencement of aircraft  
586 reconnaissance in the late 1940s and progressive implementation of satellite techniques commencing in  
587 the 1960s.

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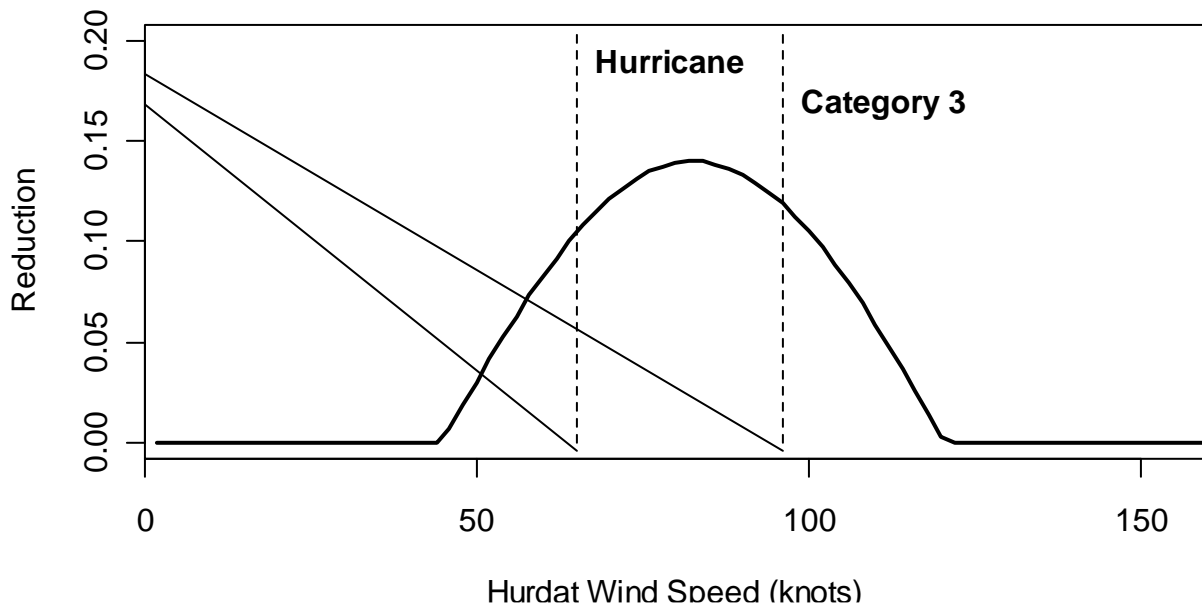
589

590 Figure 8 presents a cross-classification by latitude showing results for north-south, east-west quadrants  
591 partitioned at 68W and 31N. For quartile counts by occurrence, a cyclone can counted in more one  
592 than one quartile (the total being calculated separately to avoid double-counting in summation.) The  
593 genesis of a cyclone as a hurricane may occur in a different quartile than its genesis as a storm.

594

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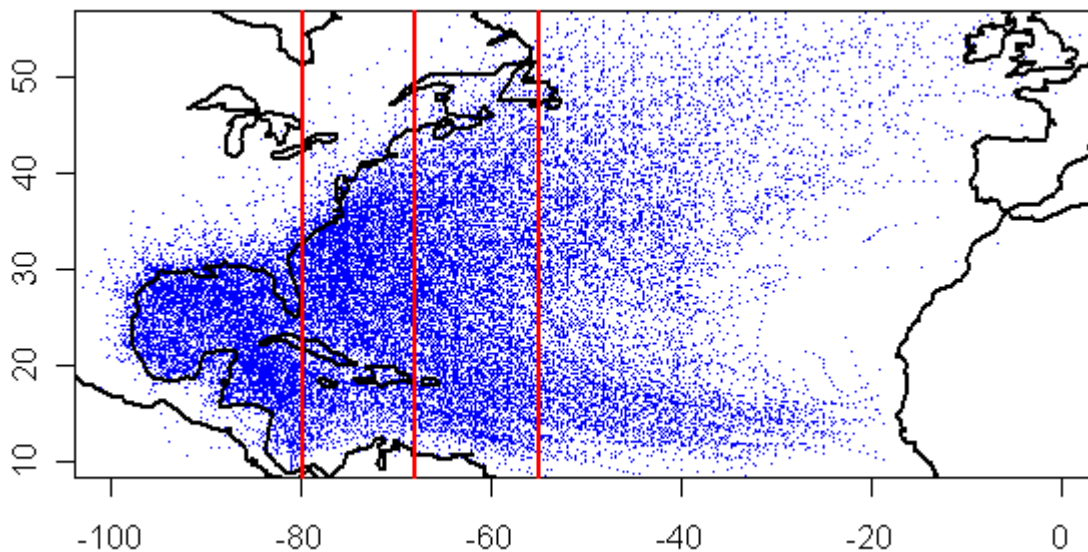
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Supplementary Figure 1. Landsea 1993 wind speed adjustment as implemented in Emanuel 2007



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Supplementary Figure 2. Longitude quartiles (79.9W, 68.1W, 55W). Each track measurement in the HURDAT database indicated by a dot.

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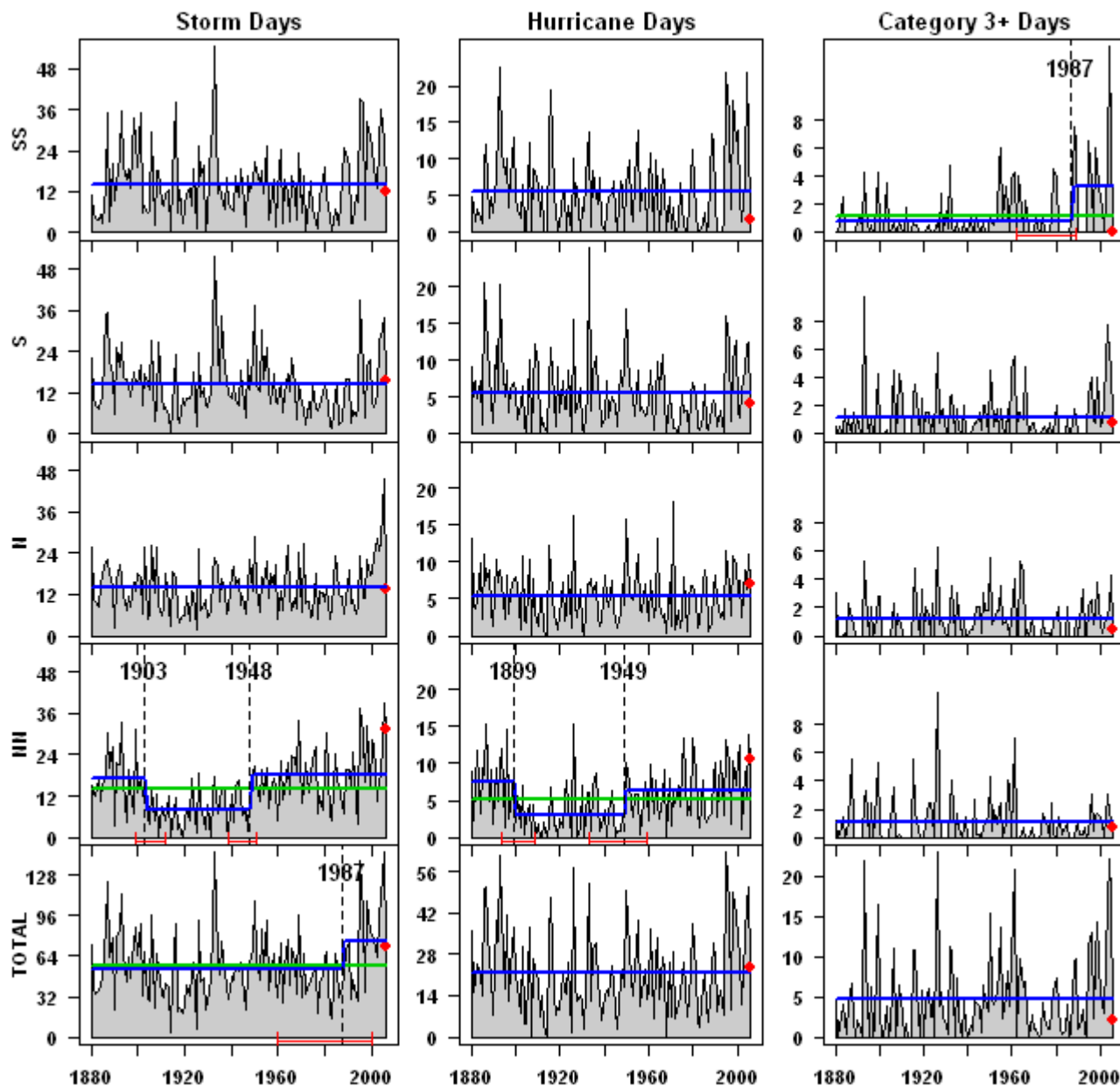
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609

In doing so, we are able to show that the entire increase in storm-days and hurricane-days has occurred in the central Atlantic between 60W and 35W, with a surprising proportion of the increase occurring north of 30N. This raises many attribution questions as to the degree to which the increase is related to climate as opposed to changes in detection, measurement and even definition. For category 3+ (“intense”) hurricanes (96+ knots on the Saffir-Simpson scale), there is no trend and even a slight decline offshore Florida, but an increase in the central Atlantic east of 60W and in the “southern corridor” south of Cuba and towards the Yucatan. Again, there are many questions as to whether these changes relate to measurement or to climate.



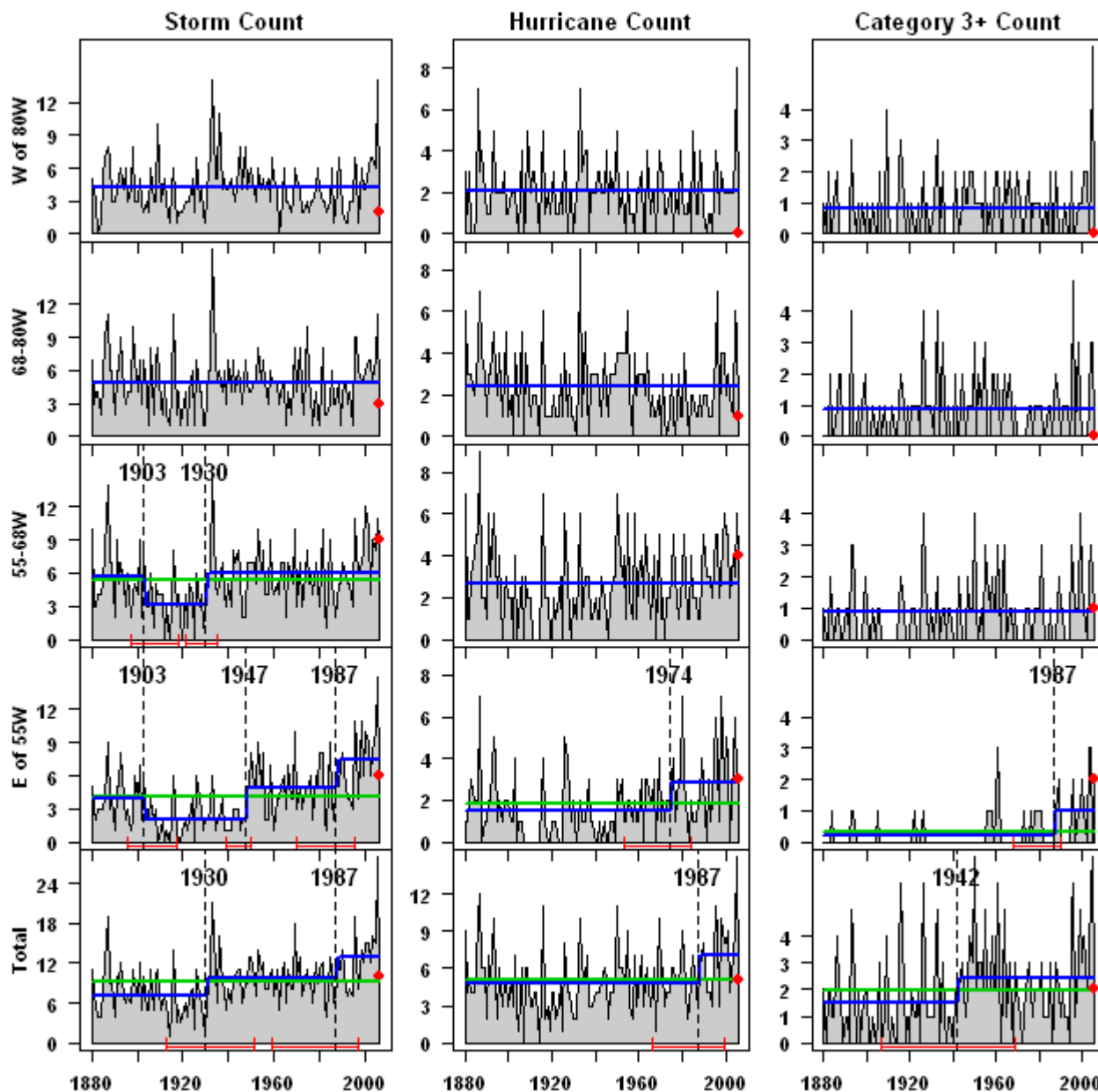


610

611 Figure 6. Days by category and latitudinal quartile. Left panel – storm days; middle – hurricane days; 612 right panel – category 3+ days. Top to bottom – by latitude quartile, south to north, and total.

613 Breakpoint analysis is shown

614



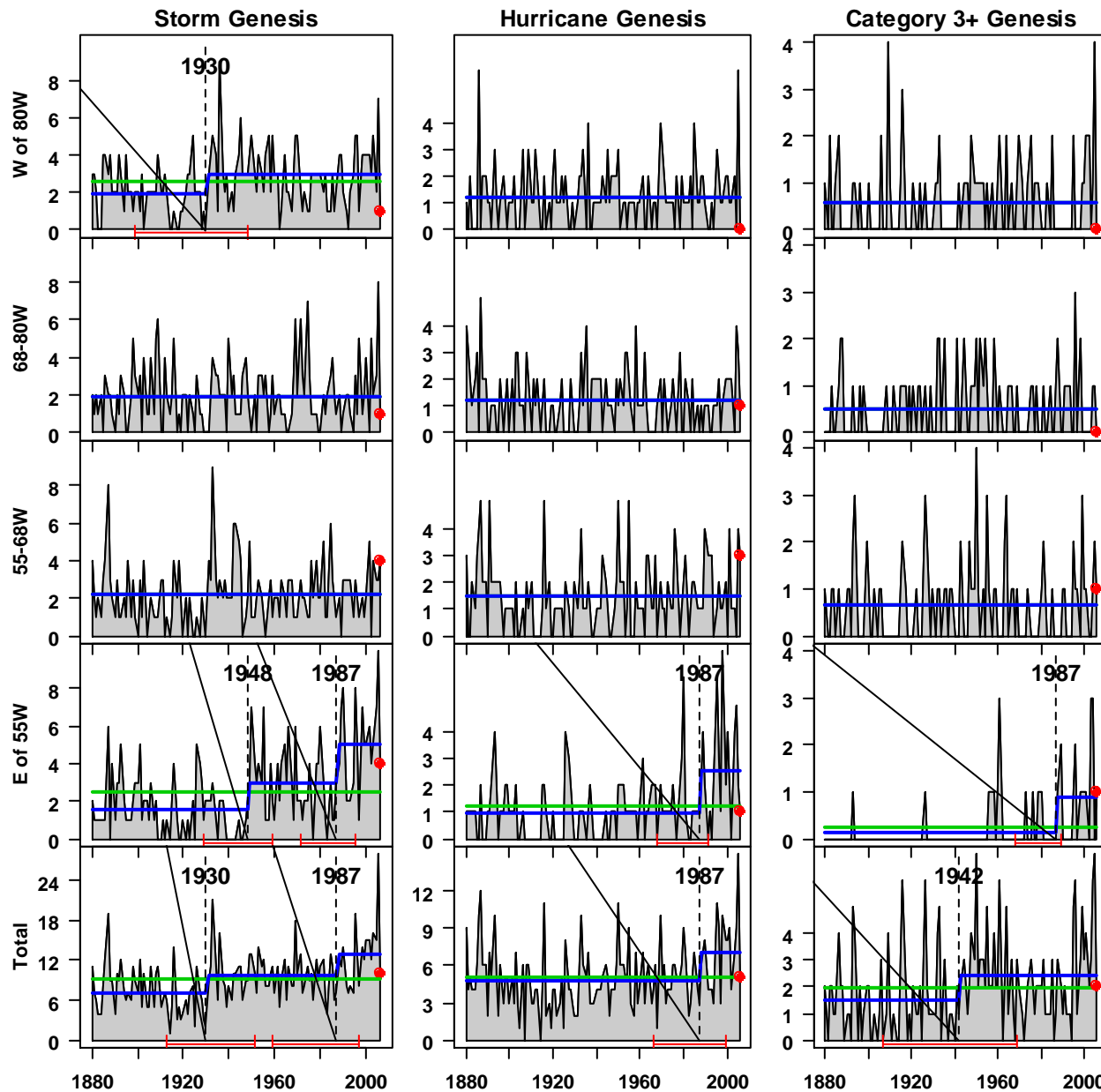
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617 Figure 6. Quartile counts by occurrence. Left panel – storms; middle- hurricanes; right – category 3+  
618 hurricanes. Top to bottom – by longitude quartile, east to west. Breakpoint analysis is shown. 33.500  
619 26.800 19.675

620

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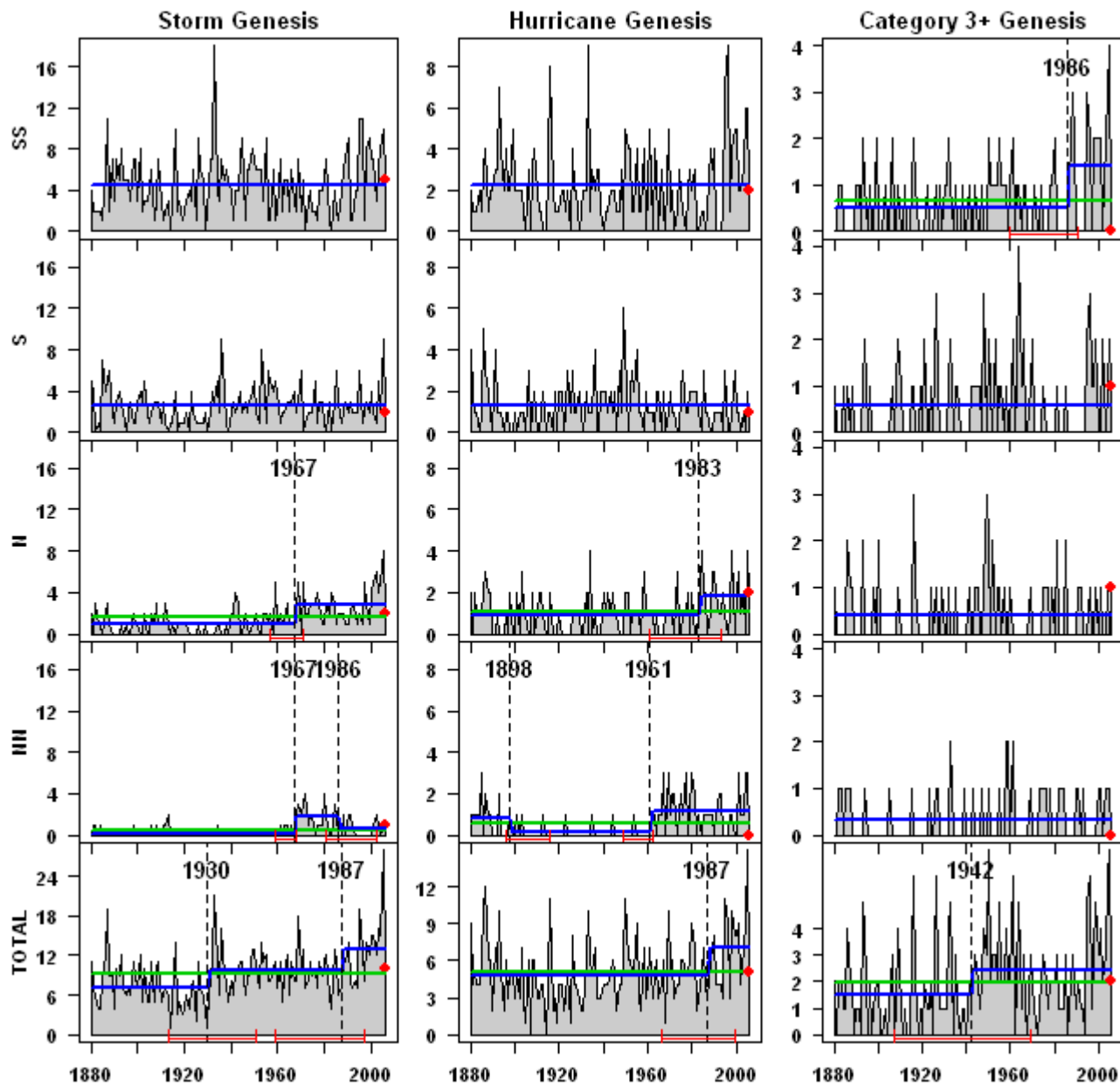
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623 Figure 7. Quartile counts by genesis. . Left panel – storms; middle- hurricanes; right – category 3+  
624 hurricanes.. Top to bottom – by longitude quartile, east to west. Breakpoint analysis is shown.

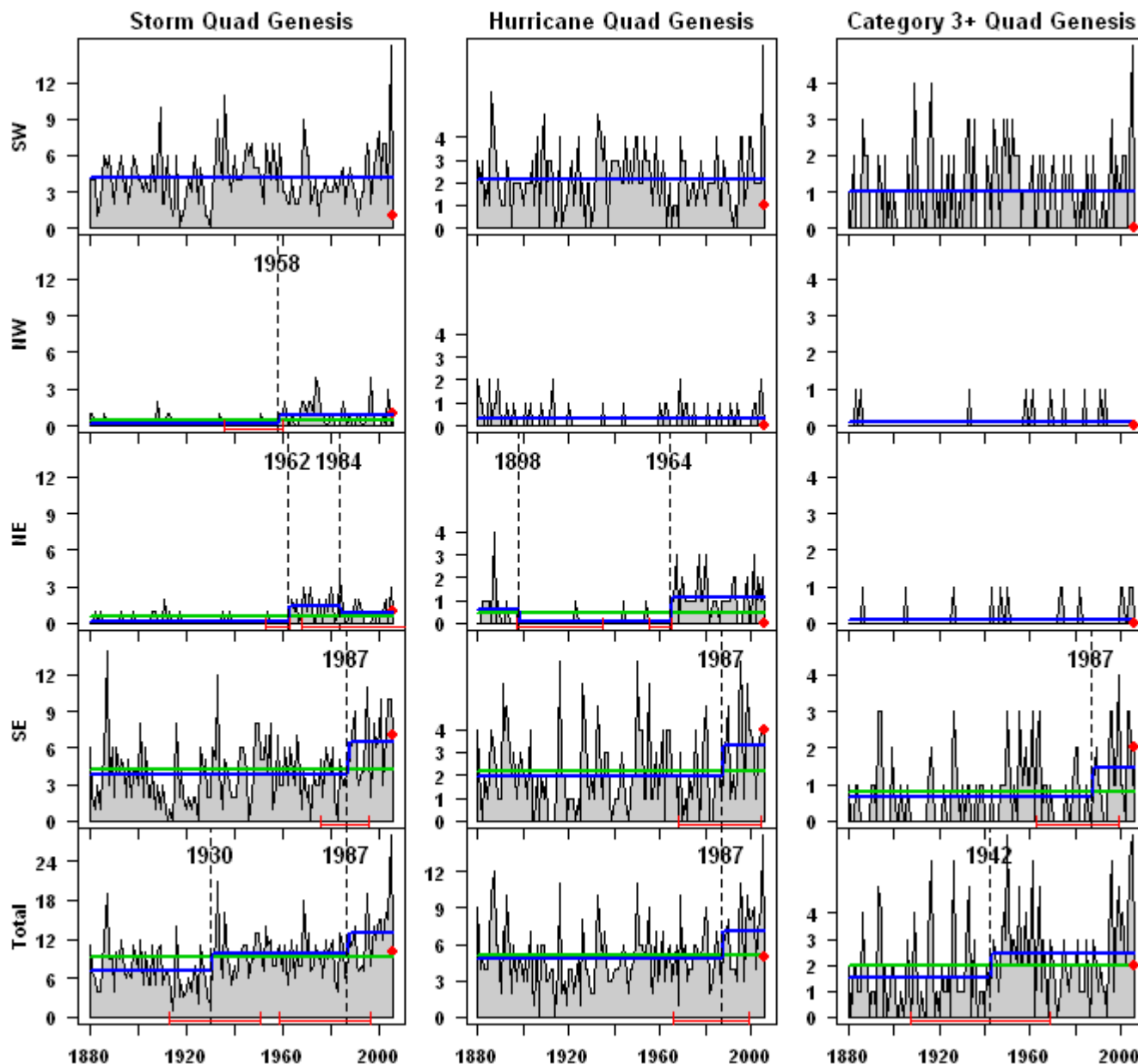
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628  
 629 Figure 8. North-South distribution of genesis. 65.6 33.6 26.8 19.9 7.7  
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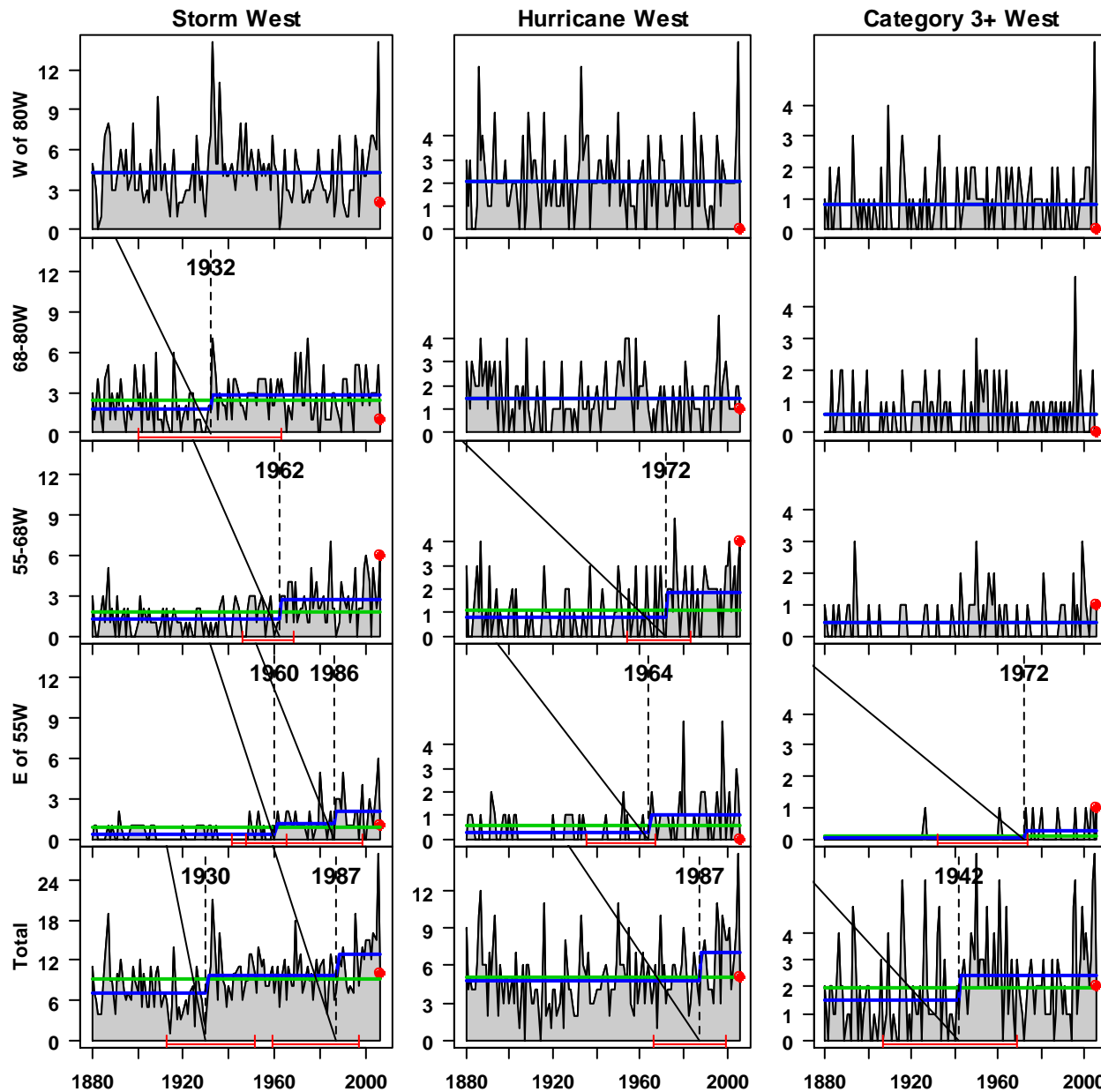


631

632 Figure 8. Quadrant counts by genesis. Left panel – storms; right panel – hurricanes. Top to bottom –  
 633 by quadrant: southeast, northeast, southwest, northwest. Partitioned at 68W and 22N. Breakpoint  
 634 analysis is shown. The storm genesis step is 0.8 per year in the NW quadrant and 0.9 per year in the  
 635 NE quadrant.

636

637



638

639 Figure 9. Quartile counts by maximum westward reach. . Left panel – storms; middle- hurricanes;  
640 right – category 3+ hurricanes. Top to bottom – by longitude quartile, east to west. Breakpoint analysis is  
641 shown.

642