Susannah Whiteside

From: Susannah Whiteside
Sent: Thursday, 31 May 2012 3:42 PM
To: John Cook
Subject: Notification of Approval - #2012000639
Attachments: 2012000639.pdf; Additional Notes (19.07.2010).pdf

Importance: High

***Please **do not** reply to this email. Enquiries should be directed to the Ethics Officer at: humanethics@research.uq.edu.au ***

Dear Mr Cook,

I am pleased to advise that your project "The Consensus Project" (#2012000639) has been approved and your Approval Form is attached. Approval is subject to the conditions listed on the Approval Form and the Additional Notes document which is also attached. Please print out both documents for your record.

If you have any questions or concerns, please do not hesitate to contact the Human Ethics Office: humanethics@research.uq.edu.au, Ph. 3365 4584.

For any future submissions of new applications or amendment applications, please visit our website to download the latest version of the appropriate form. Our website is at: http://www.uq.edu.au/research/rid/human-ethics

Yours sincerely,

Susannah Whiteside

Susannah Whiteside | Human Ethics, Research Management Office | UQ Research and Innovation | Cumbrae-Stewart Building (#72) | BRISBANE QLD 4072 | Tel: + 61 7 336 54584 | Fax: + 61 7 336 54455 | Email: s.whiteside@research.uq.edu.au| Website: http://www.uq.edu.au/research/rid/ | CRICOS Provider Code: 00025B |

THE UNIVERSITY OF QUEENSLAND

Institutional Approval Form For Experiments On Humans Including Behavioural Research

Chief Investigator:	Mr John Cook
Project Title:	The Consensus Project
Supervisor:	None
Co-Investigator(s)	None
Department(s):	Global Change Institute
Project Number:	2012000639
Granting Agency/Degree:	None
Duration:	31st December 2012

Comments:

Expedited Review - low risk.

Name of responsible Committee:-
Behavioural & Social Sciences Ethical Review Committee
This project complies with the provisions contained in the *National Statement on Ethical Conduct in Human Research* and complies with the regulations governing experimentation on humans.

Name of Ethics Committee representative:-
Associate Professor John McLean
Chairperson
Behavioural & Social Sciences Ethical Review Committee

Date 31/5/2012

Signature Personal information

THE UNIVERSITY OF QUEENSLAND
AUSTRALIA

Research & Innovation Division

DIRECTOR
Ian G Harris

The University of Queensland
Cumbrae-Stewart Building
Research Road
Brisbane Qld 4072 Australia
Telephone 07 3365 3559
International +61 7 3365 3559
Facsimile 07 3365 4455

Additional Notes to Ethics Approval

1. The clearance number should be quoted on the protocol coversheet when applying to a granting agency and in any correspondence relating to ethical clearance.

2. Clearance will normally be for the duration of the project unless otherwise stated in the institutional clearance form.

3. Adverse reaction to treatment by subjects, injury, or any other incidents affecting the welfare and/or health of subjects attributable to the research should be promptly reported to the Head of School, the Occupational Health & Safety Unit, and the Ethics Committee.

4. Amendments to any part of the approved protocol (including change of Investigator/s), documents, or questionnaires attached to the clearance must be submitted to the Ethics Committee for approval.

5. Unforeseen events that might affect continued ethical acceptability of the project must be immediately reported to the Ethics Committee.

6. Discontinuation of the project before the expected date of completion must be reported to the Ethics Committee, giving reasons.

7. The Chief/Principal Investigator/s are responsible and accountable for full compliance of the protocol by all investigators.

8. The Committee reserves the right to visit the research site and view materials at any time, and to conduct a full audit of the project.

9. It is the Committee's expectation, whenever possible, that work should result in publication. The Committee would require details to be submitted for our records.

10. Staff and students are encouraged to contact either the Ethics Officer (3365 3924), or Chairperson on other issues concerning the conduct of experimentation/research (e.g., involvement of children, informed consent) prior to commencement of the project and throughout the course of the study.

BSSERC
Chair's Checklist

Application Number: 2012000639
Title: The Consensus Project

Clinical Trial:	Yes ☐	No ☑
S95 Privacy Act:	Yes ☐	No ☑
Data from Commonwealth agency (S95):	Yes ☐	No ☑
S95A Privacy Act:	Yes ☐	No ☑
Data from private sector organization (S95A):	Yes ☐	No ☑
Health Project involving ATSI:	Yes ☐	No ☑
Women who are pregnant and the human foetus:	Yes ☐	No ☑
People in dependant or unequal relationships:	Yes ☐	No ☑
Highly dependant on medical care unable consent:	Yes ☐	No ☑
People who may be involved in illegal activities:	Yes ☐	No ☑
People in other countries:	Yes ☑	No ☐
Children or young people:	Yes ☐	No ☑
Cognitive impaired/intellectual dis./mental illness:	Yes ☐	No ☑

Personal information

Signature

31/5/2012

Date

Susannah Whiteside

From: Susannah Whiteside
Sent: Monday, 28 May 2012 2:01 PM
To: John Cook
Subject: Notification of Expedited Submission - #2012000639

Please **do not** reply to this email. Enquiries should be directed to the Ethics Officer at: humanethics@research.uq.edu.au .

Dear Mr Cook,

Thank you for submitting your project "The Consensus Project" for expedited Ethical Clearance.

This submission has been forwarded to the Chairperson, and we now await a response. Once a response has been received, we will contact you again to discuss any comments.

To aid any future enquiries, please note that your reference number is #2012000639.

Yours faithfully,

Susannah Whiteside

Susannah Whiteside | Human Ethics, Research Management Office | UQ Research and Innovation | Cumbrae-Stewart Building (#72) | BRISBANE QLD 4072 | Tel: + 61 7 336 54584 | Fax: + 61 7 336 54455 | Email: s.whiteside@research.uq.edu.au| Website: http://www.uq.edu.au/research/rid/ | CRICOS Provider Code: 00025B |

CLEARANCE NO° (office use only)

THE UNIVERSITY OF QUEENSLAND

Application Form for Ethical Clearance for Research Involving Human Participants

For review by: **Medical Research Ethics Committee (MREC)**
Behavioural & Social Sciences Ethical Review Committee (BSSERC)
For Staff and Student Research
Refer to last page for website and other information, including mailing address

Please tick boxes:

MREC		**BSSERC**	
Full Review		**Expedited Review**	✓

ALL QUESTIONS MUST BE ANSWERED
- minimum 12 point font
- define any acronyms and abbreviations used

Project Title:
The Consensus Project

Principal Investigator:	*John Cook*
Staff No°/Student No°: (cross out if not relevant)	ion [redacted]

Co-Investigator/s:	
Project Co-ordinator (or authorised contact)	

Supervisor/s: (if applicable)	

Schools/Departments:	*Global Change Institute*

	Telephone	Fax	Email
Contact details of Principal Investigator	*3365 3553*	*3346 3299*	*j.cook3@uq.edu.au*
Contact details of Project Co-ordinator or authorised contact	*3365 3553*	*3346 3299*	*j.cook3@uq.edu.au*

Degree Enrolled (if student):	
Funding Body:	
If Project Funded - What year? **- Reference no. if available**	

Project Location:	*Gehrmann Laboratories (60)*	Project Duration:	*3 months*

A. Is this submission identical or very similar to a previously approved protocol? YES (NO) (circle)

If **YES**, please provide clearance no° **and** indicate whether identical or very similar):_____

B. Does this submission hold other ethical clearance? YES (NO) (circle)

Note: Copies from other **AHEC** registered ethics committees must be attached.

C. Are you applying for Expedited Review? (YES) NO (circle)

Note: Please see UQ Guidelines page 10 for the conditions necessary to qualify for Expedited Review.

D. Is the project a Clinical Trial (eg, a trial of a drug, device, therapy, intervention, treatment, etc) ? [refer to end of this form dealing with "clinical trials"] YES (NO) (circle)

If **YES**, please specify:

PLEASE ANSWER ALL OF THE FOLLOWING QUESTIONS:

1) Who are the participants or informants?: eg, Children, University students, or other persons.

Note: Details of inclusion/exclusion criteria including approximate **number** (provide justification), age range, and male/female ratios are required.

Scientists who have published peer-reviewed papers on 'global climate change' or 'global warming' from 1991 to 2011

2) Special Groups

The *National Statement* has identified certain groups with specific ethical considerations. Researchers must take special care to protect the interests of these groups if they are in any way involved in the project. Those groups include: **pregnant women and the foetus** (Ch 4.1); **children and young people** (Ch 4.2); **people in dependent or unequal relationships** (Ch 4.3); **people highly dependent on medical care** (Ch 4.4); **people with cognitive impairment, intellectual disability, or mental illness** (Ch 4.5); **people involved in illegal activities** (Ch 4.6); **Aboriginal and Torres Strait Islander peoples** (Ch 4.7); **people in other countries** (Ch 4.8); **other cultural and ethnic groups**.

In preparing your research project and application for ethical clearance, you should investigate thoroughly, through consultation with supervisors, colleagues in your school and other professional groups/organizations, how these special groups may or may not be represented in your research and if participation in this research could have a negative impact on members of any of these groups.

Note: If participation of special groups is a focus of the research, the protocol can not qualify for expedited review (unless other current HREC clearance is held and a copy provided).

2a) Aboriginal and Torres Strait Islanders Group

Specify the level of participation that Indigenous Australians will have in this research (as members of the research team, or as members of the group to be researched):

no participation	some participation possible or likely	focus of the research
☑	☐	☐

Please explain your choice:

If Indigenous Australians may be involved (2nd or 3rd response box above), what strategies will be used to address their needs and interests? [For guidance with this part of Q2a on indigenous and cultural

issues, please refer to the NHMRC and AIATSIS codes of ethics for research with indigenous people. For further advice please contact the UQ Aboriginal and Torres Strait Islander Studies Unit.]

Please specify your strategies:

2b) People in Australia belonging to other cultural or ethnic groups

Are there any ethical considerations that may arise as a result of collection from other cultural or ethnic groups in Australia? [for example, are there any particular customs, practices, or conditions which should be taken into account]: YES (NO)

If YES, please provide details:

Have you consulted anyone with knowledge to provide guidance? Who?:

2c) People in overseas countries

Does your project involve data collection in an overseas country?: (YES) NO

If YES, what ethical considerations may arise as a result of such data collection, which are different from those arising from data collection in a general Australian context? [for example, are there any particular **local** laws, customs, practices, or conditions which should be taken into account?]:

No ethical considerations are anticipated.

Have you consulted anyone with knowledge to provide guidance? Who?:

Consulted with [redacted] *who has experience in collecting data from people in overseas countries.*

2d) Other Special Groups

Does your project involve any of the other special groups (listed above in the introduction to Q2)?: YES (NO)

If YES, please answer the following:

Specify the group/s:

What is the level of their participation:

some participation possible or likely	focus of the research
☐	☐

What strategies will be used to address their needs and interests?

Please specify your strategies:

3a) Participant recruitment details: Please provide exact details of contact.

Scientists are located via a search of the ISI database for papers matching the phrases 'global climate change' and 'global warming'. Authors of the matching papers whose contact details are publicly available will be sent an email inviting participation in the anonymous survey.

3b) Does recruitment include disclosure of personal information (eg, mailing list, names, contact details, etc) from another party or organisation to the researchers? YES/NO

If YES, please provide details.

Note: disclosure of personal information from another party or organisation to the researchers, even if merely for the purpose of seeking initial expression of interest in the project, must be authorised by each individual to whom the information relates (unless it is a completely public database with unrestricted access). Eg, Clinic X must not give to the researchers a mailing list of patients who might be potential participants for the project unless those patients have previously authorised such use and disclosure of their information to non-clinic parties.

4) In EVERY-DAY or LAY LANGUAGE please provide a summary of the project – including aims and benefit: This section MUST be completed in LAY LANGUAGE.

The project will survey the peer-reviewed literature to measure the level of scientific consensus that humans are causing global warming (e.g. – comparing the number of papers endorsing the consensus versus papers rejecting the consensus). Team members have surveyed ~12,000 papers matching the searches 'global climate change' and 'global warming', rating each paper's level of endorsement of the consensus position. The ratings by team members will be compared to results from the authors of the papers rating their own papers.

5) Give details of the research plan:

Note: The committee needs sufficient information to put into context the ethical considerations listed in later questions.

Note: This section should be completed in LAY LANGUAGE *as much as possible* so that it can be understood and appreciated by all Committee Members, including Lay Members.

Note: For application to the MREC – please keep response to a MAXIMUM of 2 pages.

A database of ~12,000 Climate papers have been retrieved from the ISI database, capturing peer-reviewed articles matching the searches 'global climate change' and 'global warming'. Each paper has been rated to measure the level of endorsement of anthropogenic global warming. Authors of these papers whose contact details are publicly available have been added to a database of potential contacts.

The authors listed in the database of potential participants will be emailed an invitation to participate in an anonymous survey on the Skeptical Science website (www.skepticalscience.com). This website is used because of its facility to encrypt private details and integrate entered data with the existing database of papers. Participants will be presented a secure, encrypted web form with their authored papers and a drop down allowing them to select the level of endorsement of their papers. Data is saved to an online database that contains only a unique identifying number for each author (e.g. – no personal details such as name or email are kept in the online database).

The author's self-ratings will be statistically compared as a whole to the team's ratings, but individual comparisons will not be made to preserve anonymity.

6) Give details of the ethical considerations attached to the proposed project:

Authors are being asked to assess their own publicly available published papers so no private details are being requested. Authors' self-ratings will not be disclosed publicly and results will only be given in a statistical, group sense. No ethical problems are anticipated.

7a) How will informed consent be obtained from participants or informants?

Participants will fill out an online form that will include a check box indicating consent that we capture their ratings.

7b) "Gatekeeper" Approvals

A "gatekeeper" or "permission-giver" is a person authorised to write a Letter of Authority and Recognition from an organisation of any type involved with the research, which gives permission to the researcher for access to the population **under the "gatekeeper's" or "permission-giver's" authority**.

[For example, if you wish to conduct research in schools and the participants are the school teachers, then gatekeeper approval will need obtained from the relevant education authority (eg, Education Queensland) and the School Principals before you may approach those school teachers in recruitment.

For example, if you wish to access staff from a private organisation, then similarly, gatekeeper approval will usually be required from senior personnel or an appropriate manager who is able to grant such access to approach that organisation's staff in recruitment.]

1. Are gatekeeper approval/s required for the research?: **YES/NO** (NO circled)

2. If **YES**, who are the gatekeeper/s and how will their approvals be sought and obtained? (if gatekeeper approval/s have already been obtained, then please attach copy)

8) Provide details of procedures for establishing confidentiality and protecting privacy of participants or informants:

Web form for capturing author's data will be encrypted in a secure form to offer extra privacy and protection. Data will be de-individuated so that individual ratings will not be published. User identities will not be stored on the same server as the ratings data.

9) Researchers must ensure that all data, particularly data containing personal information (ie, information that can identify the person), are secure both at the point of storage and during transit. Researchers must be aware of relevant legislation and guidelines governing privacy:- *Information Privacy Act* (Qld) 2009, *Privacy Act* (Cth) 1988, and Guidelines under S95 and S95A of the *Privacy Act* (Cth).

9a) Where will data be stored (eg, UQ office of researcher), and what measures will be taken to ensure security of data (eg, locked filing cabinets, computer hard-drive protected by password/encryption/de-identification of data, etc)?

Ratings data is stored on the Skeptical Science website, which is password protected. User identities will be stored in a separate password protected database on a different server, completely firewalled from the ratings database.

9b) Will data be stored on, or taken to, premises other than secure UQ premises (eg, researcher's home)?: YES/NO

If YES, then what measures will be taken to ensure security of data at these premises?

Data is password protected and stored over two servers, with author identity firewalled from survey data.

9c) What measures will be taken to ensure security of data during transit? (eg, if data is on hard-drive – protection by password/encryption/de-identification of data, etc).

9d) Will persons other than staff of the research team have access to the data?: YES/NO

If YES, then please specify these persons, state why these persons have access, and what provisions are in place to ensure the confidentiality of data by these persons.

10) In what form will the data be collected:
Note: Tick the most appropriate box:

(i) Identified ☐ **(ii) Potentially Identifiable** ☐ **(iii) De-Identified** ☑ (ie, not able to be re-identified)

11) In what form will the data be stored and/or accessed:
Note: Tick the most appropriate box:

(i) Identified ☐ **(ii) Potentially Identifiable** ☑ **(iii) De-Identified** ☐ (ie, not able to be re-identified)

12) Give details of how feedback will be available to participants or informants:

Participants will be given the opportunity to receive the results of the survey although they will only receive de-individuated results and receive no information regarding individual ratings.

13) Does the project involve any of the following possibilities? Answer YES or NO. If YES, give details.

a) The trial or use of any medicine, drug, or other substance

1. Answer YES or NO. If YES, provide details: *No*
2. Does this project require the submission of a Clinical Trial Notification/Clinical Trial Exemption (CTN/CTX) Form to the Therapeutic Goods Administration (TGA)? [Refer to the TGA website for further information]: *No*

b) The trial of any device

1. Answer YES or NO. If YES, provide details: *No*
2. Does this project require the submission of a Clinical Trial Notification/Clinical Trial Exemption (CTN/CTX) Form to the Therapeutic Goods Administration (TGA)? [Refer to the TGA website for further information]: *No*

c) The trial of any intervention, therapy, or treatment (whether medical, behavioural, physical, or other)

No

d) Any invasive procedures (eg, blood sampling)

No

e) Any diagnostic scans carried-out for the purposes of the project (including, *but not limited to*: MRI, NMR, CT/CAT, X-Rays, etc).

1. If YES, please list. *No*
2. Does your project involve the use of MRI? YES/(NO) NOTE: If using MRI at a hospital site (i.e. a facility with emergency services available on site during testing), you MUST have at least one staff who has current CPR certification and must have undertaken an emergency evacuation drill at least once a year. If using MRI at non-hospital sites, (e.g. UQ St Lucia Campus), you MUST have 2 staff who both have current CPR certification and they must have undertaken an emergency evacuation drill at least once a year. Does your project fulfil these mandatory conditions? YES/NO If NO, outline reasons for submitting your application without these conditions in place.
3. Does your project involve exposure to ionising radiation? YES/(NO)

NOTE: If YES, the protocol MUST comply with the Queensland *Radiation Safety Act (1999)* and *Radiation Safety Regulation (2010)*. The legislation requires compliance with the Australian Radiation Protection and Nuclear Safety Agency's *Code of Practice for the Exposure of Humans to Ionising Radiation for research Purposes (ARPANSA 2005)* (http://www.arpansa.gov.au/pubs/rps/rps8.pdf) and you MUST consult with the University Radiation Protection Adviser before submission.

Does your project meet the guidelines of the Code of Practice? YES/NO

Has the project been reviewed by the University Radiation Protection Adviser before ethics submission? YES/NO

f) The possibility of physical stress/distress, or discomfort

1. to the participants:

No

2. to the researchers/data collectors:

No

g) The possibility of psychological/mental stress/distress, or discomfort

1. to the participants:

No

2. to the researchers/data collectors:

No

h) Deception of/or withholding information from, participant at **ANY** stage of the project

No

i) Access, by the investigators, to data held by a Commonwealth Department or Agency (Please also specify the number of records to be accessed)

No

j) Access, by the investigators, to data held by other bodies or people (Please also specify the number of records to be accessed)

Yes, the ISI database of peer-reviewed papers + authors of each paper (~ 12,000 papers, ~ 29,000 authors)

k) Access to data (eg, medical records), by other bodies or people not the investigators.

No

l) Use of questionnaires, interviews, or focus groups with questions or topics which are sensitive, have potential to cause distress, or may reveal illegal activity

No

14) Please Indicate What You Think Is The Level Of Risk For Prospective Participants Against The Scale Below: *Tick the most appropriate box. (Refer to the UQ Guidelines)*

- [ ] **Extreme Risk**
- [ ] **High Risk**
- [ ] **Some Risk**
- [ ] **Minimal Risk**
- [x] **No Foreseeable Added Risk Above the Risks of Everyday Living**

15) Please provide details to assist the committee as to why you indicated the level of risk to prospective participants or informants in the question above (Question 14):

Survey has participants anonymously rating publicly available papers. No risk is anticipated.

16) How has the possibility of withdrawal from the project been addressed?:
Note: Ensure that details and effects of withdrawal without prejudice AT ANY TIME have been considered and explained. Refer to the NHMRC's *National Statement* section 2.2.19 – 2.2.20.

Any participant that does not complete the online survey will be considered incomplete and not incorporated into the final results. This will not affect the project's viability.

17) Please note that this section must be completed for funded research or the application will not be processed.

17 a) Is this project receiving financial support to conduct the research? YES (NO) (circle)

17 b) If Yes, from what source(s)?

17 c) Who will be administering the budget?

17 d) Please provide details of the budget distribution. (Or attach a copy of the budget statement.)

17 e) Provide details of any other "in kind" support for the project or direct or indirect payment to any investigator:

17 f) Please provide details of participant reimbursement for their involvement in the Project, if any:
Note: This could be cash payment, food vouchers, free services, or movie passes, etc.

18) In undertaking this research do any "conflict of interest" issues arise?
If YES, please provide details.
Note: Conflict of Interest may arise, for example, because a researcher, or someone close to the researcher, stands to benefit financially from the research or the carrying out of the project or because inconsistent or incompatible obligations exist.
Refer to section 5.4 of the NHMRC's *National Statement*:

No

19) Is the project a multi-centre or site project?
If YES, provide the name of the principal ethics committee. Please provide copies of any conditions or requirements placed by other AHEC registered Human Ethics Committees:
Note: The Principal Ethics Committee is the Institutional Ethics Committee where the budget is to be administered.

No

20a) Some projects may involve permits from National Parks & Wildlife in relation to collection of data and Native Title issues. How have you addressed this issue?: (Refer to the UQ Guidelines)

20b) Does the project require biosafety clearance? **YES NO** (circle)

ATTACHMENTS:

1) Participant Consent Form Yes/No
Note: for examples of what should be included in a consent form, please consult page 12 of the UQ Guidelines for Ethical Review of Research Involving Humans. Also refer to "Checklist" below.

2) Participant Information Sheet Yes/No
Note: for External Use - forms should be released on letterhead and contain University Ethical Paragraph.
Refer to UQ Guidelines and Ethics website, and "Checklist" below.

3) Questionnaire (if applicable) Yes/No

4) Indemnity Agreement (primarily for clinical trials and contract work) Yes/No

5) CTN/CTX [Clinical Trial Notification/Clinical Trial Exemption] Form (primarily for clinical trials) Yes/No

6) Gatekeepers or Permission-Givers Yes/No
Note: A "gatekeeper" or "permission-giver" is a person authorised to write a letter of Authority and Recognition from an organisation of any type involved with the research, which gives permission to the researcher for access to the population under the "gatekeeper's" or "permission-giver's" authority.

7) Bibliographic References Yes/No

8) Other - please specify_____

_____

_____

_____

DECLARATION

We/I, the undersigned researcher(s) have read the University of Queensland's Guidelines for Ethical Review of Research Involving Humans and the NHMRC's *National Statement on Ethical Conduct in Human Research*, and agree to abide by them in the conduct of this research. It is understood that this includes the reporting and monitoring roles associated with the approval by the University of Queensland.

Signature of Principal Investigator: Personal information _____

Date: 21 / 5 / 2012

Signature of Supervisor (if applicable): Personal information _____

Date: 22/5/2012

An original plus 15 copies should be submitted to the:

Ethics Officer
Research & Innovation Division
Cumbrae-Stewart Building (72)
THE UNIVERSITY OF QUEENSLAND QLD 4072

Ph: (07) 3365 3924
Fax: (07) 3365 4455

Email: humanethics@research.uq.edu.au

ADDITIONAL INFORMATION

Application information, including the UQ Guidelines, can be found on our website:
http://www.uq.edu.au/research/rid/human-ethics

The NHMRC's *National Statement* can be found on the following website:
http://www.nhmrc.gov.au/publications/synopses/e72syn.htm

Information concerning clinical trials and the CTN/CTX schemes can be found on the TGA website:
http://www.tga.gov.au/index.htm

Information regarding biosafety can be found on the following website:
http://www.uq.edu.au/ohs/biosafety-at-uq

Aboriginal and Torres Strait Islander Studies Unit website: http://www.uq.edu.au/atsis/ (which includes links to sites including the Australian Institute of Aboriginal and Torres Strait Islander Studies Unit under Cool Sites). Enquiries to the Aboriginal and Torres Strait Islander Studies Unit can be made on: 3365 6714 (ext 56714).

Full Review of applications may take a minimum of eight weeks from the time of submission. Expedited Review and Amendments may take a minimum of three weeks.

NHMRC: National Health and Medical Research Council
AHEC: Australian Human Ethics Committee
HREC: Human Research Ethics Committee and, for the purposes of this application, means an AHEC registered committee

Applications to MREC
Please note that medical research includes epidemiological research (Privacy Act 1988).

Audits
Please note that the Committee reserves the right to visit the research site and view materials at any time, and to conduct a full audit of the project.

Last Update 08/11/2011

Submission of Research Protocols for Human Ethical Clearance
APPLICATION CHECKLIST

This checklist is supplied for use as an additional means of ensuring all aspects of the proposed study have been considered and adequately detailed before submission to a reviewing Committee. A copy must be attached to the original application form for the reviewing Committee to support your submission.

Project Title: The Consensus Project
Principal Investigator: John Cook

Participant Information Sheet (PIS)

	YES	NO	IF NO, WHY?
1. Version for each participant group *(if applicable)*	✓		
2. On letter-headed paper *(if applicable)*		✓	Information supplied by email & webpage
3. Full title of project	✓		
4. Lay title of project *(if applicable)*	✓		
5. Names, positions & affiliations of all investigators	✓		
6. Clear purpose of study	✓		
7. Non-technical language - Appropriate lay language and length for PIS	✓		
8. Details of participation/ procedures	✓		
9. Duration of participation	✓		
10. Location for participation	✓		
11. Risks outlined *(% explanation needed?)*		✓	No risks anticipated
12 Benefits to participants	✓		
13 What support if something goes wrong	✓		
14. Freedom to withdraw without penalty	✓		
15. Assurance of confidentiality	✓		
16. Access to results	✓		
17. Debriefing	✓		
18. Reimbursement to participants		✓	No reimbursements offered
19. Need for Witnesses?		✓	Survey is web based, no witnesses required
20. Contact details for further questions	✓		
21. Ethical clearance statement	✓		

Not applicable - user's consent indicated by clicking YES to participate on online form

Participant Consent Form (PCF)

	YES	NO	IF NO, WHY?
1. Version for each participant group *(if applicable)*			
2. Full title of project			
3. Lay title of project *(if applicable)*			
4. Names, positions & affiliations of all investigators			
5. Provision of space for full name of participant			
6. Written declaration of informed consent, eg, "I have read/"I understand…"			
7. Freedom to withdraw without penalty			
8. Assurance of confidentiality			
9. No benefit for participation			
10. Provision for signature of participant			
11. Provision for signature of witness and date (if appropriate)			
12 Provision for signature of guardian, relationship to participant and date (if appropriate)			

UQ "CLINICAL TRIAL" INSURANCE

What Constitutes a Clinical Trial (for insurance purposes)?

If the answer is 'YES' to **either** 1 or 2 below, the study or research is a clinical trial for the purposes of the University's insurance coverage:

1. Is the study or research to test:
   - a drug, **or**
   - a surgical procedure or device; **or**
   - a therapeutic procedure or device; **or**
   - a preventative procedure or device; **or**
   - a diagnostic procedure or device;

   where the nature of the study or research is such that it requires the investigator or an assistant to be a registered medical practitioner or other registered qualified health service provider?

2. Does the study or research require any:

   - penetration of the skin (other than taking of blood samples); **or**
   - biopsy or any taking of or extraction of tissue samples; **or**
   - penetration of the bodily orifices (other than ears or mouth); **or**
   - insertion of diagnostic or other device within the bodily orifices (other than ears or mouth).

   to be undertaken be a registered medical practitioner or other registered qualified health service provider?

However, please note that if the study or research:

- involves evaluating outcomes of established health care management or treatment relating to the condition or illness from which the participants are suffering; **or**
- only involves the participants completing questionnaires or interviews;

then the study/research is not a clinical trial for the purpose of insurance coverage.

An **INSURANCE CHECKLIST** on the next page is provided to assist you in determining whether your project is a 'clinical trial".

ALL applicants must complete this checklist.

Further information can be found at the following UQ Insurance Website:
http://www.fbs.uq.edu.au/index.html?page=78431#What%20Constitutes%20a%20CTN%20Trial

INSURANCE CHECKLIST

(ALL applicant to complete)

A. Does the nature of the study or research require that the investigator or an assistant thereto must be a registered medical practitioner or other registered qualified health service provider? **Yes** ☐ **No** ☑

(i) If Yes to A, Is the study or research to test
- a drug, **or**
- a surgical procedure or device; **or** **Yes** ☐ **No** ☐
- a therapeutic procedure or device; **or**
- a preventative procedure or device; **or**
- a diagnostic procedure or device;

If **Yes** to A and (i) above, then it is a Clinical Trial.

B. Does the study or research require any "invasive procedure" (please note the definition below) to be undertaken by a registered medical practitioner or other registered qualified health service provider? **Yes** ☐ **No** ☑

For the purpose of this question, "invasive procedure" shall mean any procedure involving
- penetration of the skin (other than taking of blood samples); **or**
- biopsy or any taking of or extraction of tissue samples; **or**
- penetration of the bodily orifices (other than ears or mouth); **or**
- insertion of diagnostic or other device within the bodily orifices (other than ears or mouth).

If **Yes** to B above, then it is a Clinical Trial

C. Does the study or research require or involve the submission of a Clinical Trial Notification/Clinical Trial Exemption (CTN/CTX) Form to the Therapeutic Goods Administration (TGA)? [Refer to the TGA website for further information] **Yes** ☐ **No** ☑

If **Yes** to C above, then it is a Clinical Trial

If your project is a "clinical trial" in accordance with the definition above, then please go on to complete the **INSURANCE ANNEXURE** on the next page.

The Ethics Office will detach and forward the completed annexure to the UQ Insurance Office so that it can arrange for insurance on your behalf. The Insurance Office will carry-out any negotiation on behalf of UQ and will bear the cost of the policy.

Researchers for CTN/CTX clinical trials (ie, answered "YES" to C in the checklist above) must, in addition, confirm with the UQ Insurance Office (Ph 3365 3075; email insurance@bs.uq.edu.au) that clinical trial insurance arrangements are in place before commencement of the project, IRRESPECTIVE of UQ Ethics Clearance.

Not applicable to this research

Finance and Business Services Division

THE UNIVERSITY OF QUEENSLAND

Clinical Trial Insurance Notification Form

(to be completed for each Clinical trial and submitted with Ethics application)

UQ Ethics Number:	

Ethics Approval Granted By:	**UQ** ☐ **Approved** ☐ **Pending** **Other Institution** ☐ **(State if approved or pending, and name of other institution)**.....

Principal Investigators Name:	
UQ Employee [E], Conjoint [C], Adjunct [A], Other (specify):	
UQ School/Unit:	
Trial Title:	
Brief Description:	

Issues required to be specifically notified due to exceptions to UQ's coverage under its Unimutual protection:	Does the trial/study involve a permanently invasive procedure (e.g. an implant)?	☐ Yes ☐ No
	Does the trial/study involve a pregnant or breast feeding subject?	☐ Yes ☐ No
	Does the trial/study involve a minor?	☐ Yes ☐ No
	Does the trial/study involve the use of any medicine or device not entered in the Australian Register of Therapeutic Goods, including any new formulation of an existing product or any new route of administration?	☐ Yes ☐ No
	Does the trial/study involve the use of any marketed medicine or device used beyond the conditions of its Therapeutic Goods Administration marketing approval, including new indications extending the use of the product to a new patient group and the extension of doses or duration of treatments outside the approved range?	☐ Yes ☐ No

	Does the trial/study involve the discontinuation of any existing treatment or medication?	☐ Yes ☐ No
	Does the trial/study involve Implanon being administered?	☐ Yes ☐ No
	Is there a significant risk that the trial/study will result in any person contracting HIV or AIDS?	☐ Yes ☐ No
	Is there a significant risk that the trial/study will result in the transmission of any other communicable or contagious disease or virus?	☐ Yes ☐ No
	Is there a risk that the trial/study will result in damage to or change in any subject's DNA?	☐ Yes ☐ No
	Is all or part of the trial/study being conducted in the USA or Canada?	☐ Yes ☐ No
	Does any agreement applicable to the trial/study state that the laws of the USA or Canada apply?	☐ Yes ☐ No

Please also note that Unimutual protection may not apply to a trial/study in circumstances involving:

- dishonest, fraudulent, criminal or malicious acts or omissions;
- the performance of services by any individual under the influence of intoxicants, narcotics or other drugs affecting neuro cognitive competence;
- health care incidents where a health care professional's capacity is in question (under the *Medical Indemnity (Prudential Supervision and Product Standards) Act 2003* (Cth));
- the provision of health care by an unregistered individual;
- sexual harassment, sexual misconduct or unlawful discrimination of any type;
- failure of the drug, device or procedure to which the trial/study relates to perform its intended purpose or function; and
- any trial/study undertaken without the approval of all relevant ethics committees.

If any of these circumstances arise at any time before or during the trial/study then you must notify the Director of the Research and Innovation Division immediately.

Sponsor of Trial:	

Indemnity provided by sponsor: (list any exclusions if any):	

Granting body for non-sponsored Trials:	

Estimated target participant numbers per annum **(Divide no. for full trial period by no. of years for trial)**	

Estimated target participant numbers for full trial period:	

Location (s) of Trial:	

Invasive nature of trial: eg taking blood samples, tissue sampling, surgical procedures, ingestion of any substance, application of creams, ointments etc:	

Start date of Trial:	

Estimated period of Trial:	

Type of Clinical Trial: **General** ☐ (i.e. Non CTN/CTX) **CTN** ☐ **Phase:** ..... **CTX** ☐ **Phase:**	**If CTN/CTX, (once UQ Ethics has been approved, send email to insurance@bs.uq.edu.au with following attachments:** - **Questionnaire (refer "On-line forms" at www.fbs.uq.edu.au)** - **Patient Information Sheet** - **Patient Consent Form** - **If overseas sites involved, copy of full protocol (overseas sites are NOT automatically covered)**

Name of drug(s) being used:	

Dosage of drug(s):	

Signed by: ..... Date: ..../..../.....
(Principal Investigator)

Contact details:

Name of Contact Person: .....

Telephone number: .....

Email address: .....

Participation Information Sheet

Information regarding the survey will be provided in an email invitation sent to each potential participant as well as on the greeting web page.

Email Subject: University of Qld survey re your published paper

As an author of a peer-reviewed paper listed in the 'Web Of Science' between 1991 to 2011 matching the search phrases 'global warming' or 'global climate change', you are invited to participate in a survey being conducted at the University of Queensland that will measure the level of consensus in the peer-reviewed literature regarding the proposition that humans are causing global warming, and how the level of consensus in the literature may be changing over time. You're invited to categorise the field of research of your published paper and the level of endorsement implicit, explicit or neutral in your paper. Note: you will not be asked to supply your personal views on the question of Anthropogenic Climate Change (AGW); rather we will be asking you to categorise the degree of acceptance, or not, of AGW that is embodied in your published research. To participate, please follow the link below to the Skeptical Science website.

http://www.survey.gci.uq.edu.au/?c=f83dm4p518

The survey is expected to take only 1 to 2 minutes. You may elect to discontinue the survey at any point. Your data will only be recorded if the survey is completed. Your categorisations are confidential and all data will be de-individuated in the final results so no individual ratings will be published. You may sign up to receive the final results of the survey (de-individuated so no individual's data will be published).

The research, titled The Consensus Project, is being conducted by the University of Queensland in collaboration with contributing authors of the website Skeptical Science (winner of the Australian Museum 2011 award for Advancement of Climate Change Knowledge). The research project is headed by John Cook, research fellow in climate communication for the Global Change Institute at the University of Queensland.

This study adheres to the Guidelines of the ethical review process of The University of Queensland. Whilst you are free to discuss your participation in this study with project staff (contactable on +61 7 3365 3553 or j.cook3@uq.edu.au), if you would like to speak to an officer of the University not involved in the study, you may contact the Ethics Officer on +61 7 3365 3924.

If you have any questions about the survey or encounter any technical problems, you can contact John Cook at j.cook3@uq.edu.au

Participation Consent Form

Participant consent takes the form of a greeting web page with a "Yes, I would like to participate" button:

Webpage Title: The Consensus Project

The Consensus Project seeks to measure the level of consensus in the peer-reviewed literature regarding the proposition that humans are causing global warming. You have been invited to participate as an author of a peer-reviewed paper listed in the 'Web Of Science' between 1991 to 2011 matching the search phrases 'global warming' or 'global climate change':

- *[Paper Title, Year, Journal, Authors]*

To participate, please click the form below and select the category of the field of research of your published paper and the level of endorsement implicit, explicit or neutral in your paper. Note: you will not be asked to supply your personal views on the question of Anthropogenic Climate Change (AGW), rather we will be asking you to categorise the degree of acceptance, or not, of AGW that is embodied in your published research.

[BUTTON: Yes, I would like to participate]

This study adheres to the Guidelines of the ethical review process of The University of Queensland. Whilst you are free to discuss your participation in this study with project staff (contactable on +61 7 3365 3553 or j.cook3@uq.edu.au), if you would like to speak to an officer of the University not involved in the study, you may contact the Ethics Officer on +61 7 3365 3924.

If you have any questions about the survey or encounter any technical problems, you can contact John Cook at j.cook3@uq.edu.au

Questionnaire

Webpage Title: The Consensus Project: Survey Form

Please select from both drop downs below to categorize your published paper. The first drop down indicates what category of research your paper covers. Category Options are:

- Paleoclimate: examining climate in pre-industrial times.
- Mitigation: approaches to lowering greenhouse gas emissions or atmospheric levels of greenhouse gases.
- Impacts: effects and impacts of climate change.
- Methods: focus on measurement methods, climate modeling, or other methods/modeling.
- Not peer-reviewed: Opinion pieces and articles that have not been peer-reviewed.
- Not Climate Related: This includes social science research. E.g., public opinion surveys, history, communication, education.

The second drop down indicates the level of endorsement that humans are causing global warming. Options are:

- Explicit Endorsement with Quantification: paper explicitly states that humans are causing most of global warming.
- Explicit Endorsement without Quantification: paper explicitly states humans are causing global warming or refers to anthropogenic global warming/climate change as a given fact.
- Implicit Endorsement: paper implies humans are causing global warming. E.g., states greenhouse gases cause warming without explicitly stating humans are the cause by, for example, assuming impacts on climate/temperature following an increase in greenhouse gas radiative forcing.
- Neutral: paper doesn't address or mention issue of what's causing global warming.
- Implicit Rejection: paper states other natural causes are dominant influences of recent climate change without explicitly mentioning anthropogenic global warming.
- Explicit Rejection Without Quantification: paper explicitly minimizes or rejects that humans are causing global warming without specifying a quantity.
- Explicit Rejection With Quantification: paper explicitly rejects or minimises anthropogenic warming with a specific figure.

[Paper Title] *[Select Category]*
[Select Level of Endorsement]

[Radio Button] I'd like to be informed of the results of this study

[Text Box for comments about survey]

[Button: Submit My Ratings]

Survey on Climate Change Consensus in the Peer-Reviewed Literature

This survey seeks to measure the level of consensus in the peer-reviewed literature that humans are causing global warming. You have been invited to participate as an author of XXX peer-reviewed papers published between 1991 and 2011 matching the search phrases 'global warming' or 'global climate change'.

Any information supplied is confidential and all data will be de-individuated in the final results so no individual ratings will be published. To participate, please click the 'Yes, I would like to participate' button below to select the category of the topic of research of your published paper(s) and the level of endorsement (implicit, explicit or neutral) in each paper. You are not asked to supply private views but merely to categorise each specific research paper.

Yes, I would like to participate

This study adheres to the Guidelines of the ethical review process of The University of Queensland. Whilst you are free to discuss your participation in this study with project staff (contactable on +61 7 3365 3553 or j.cook3@uq.edu.au), if you would like to speak to an officer of the University not involved in the study, you may contact the Ethics Officer on +61 7 3365 3924 or humanethics@research.uq.edu.au.

If you have any questions about the survey or encounter any technical problems, you can contact John Cook at j.cook3@uq.edu.au